

# B-SPLINE CURVES

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# INTRODUCTION TO B-SPLINES

Improving over Bézier curves

## Improving over Bézier curves

Bézier curves have some drawbacks:

- Degree is proportional to number of control points
- Does not offer true global control (at most “pseudo-local”)
- $C^2$  continuity is not so easy to obtain for composite curves

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To overcome this: **B-splines**

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To overcome this: **B-splines**

- “Discovered” by Schoenberg (1940s) (actually, even earlier), developed as we will see them by De Boor in the 1960s and Riesenfeld and others in 1970s
- B-splines = Basis splines
- Several flavors: uniform, non-uniform, rational non-uniform (NURBs)...

Carl de Boor



# QUADRATIC UNIFORM B-SPLINES

## Deriving the formula for the quadratic B-splines

Setting:

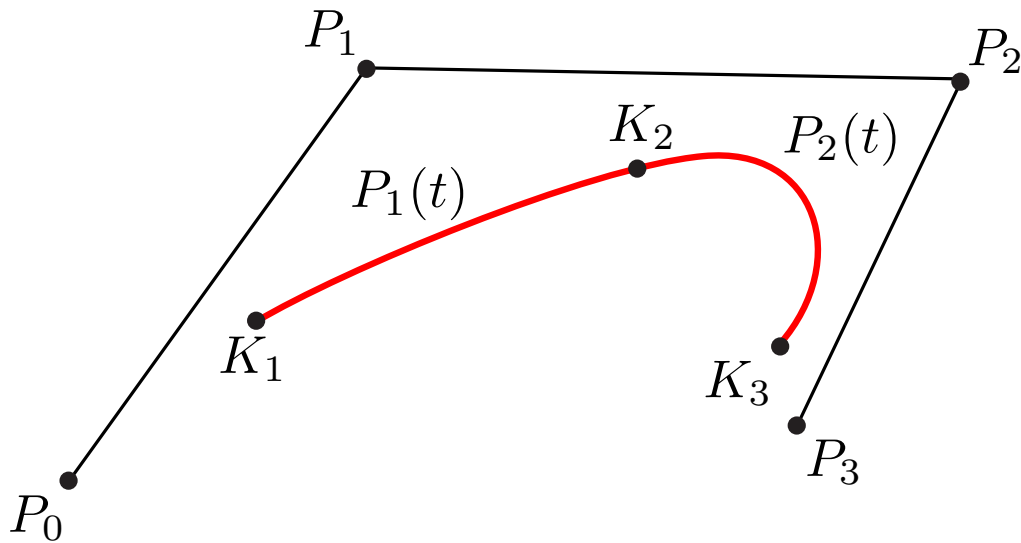
- Input:  $n + 1$  control points  $P_0, \dots, P_n$
- Output: **spline curve** where each segment  $P_i(t)$  is a **quadratic** parametric polynomial based on  $P_{i-1}, P_i$  and  $P_{i+1}$ . Segments start and end at points  $K_i$ , called *knots*.

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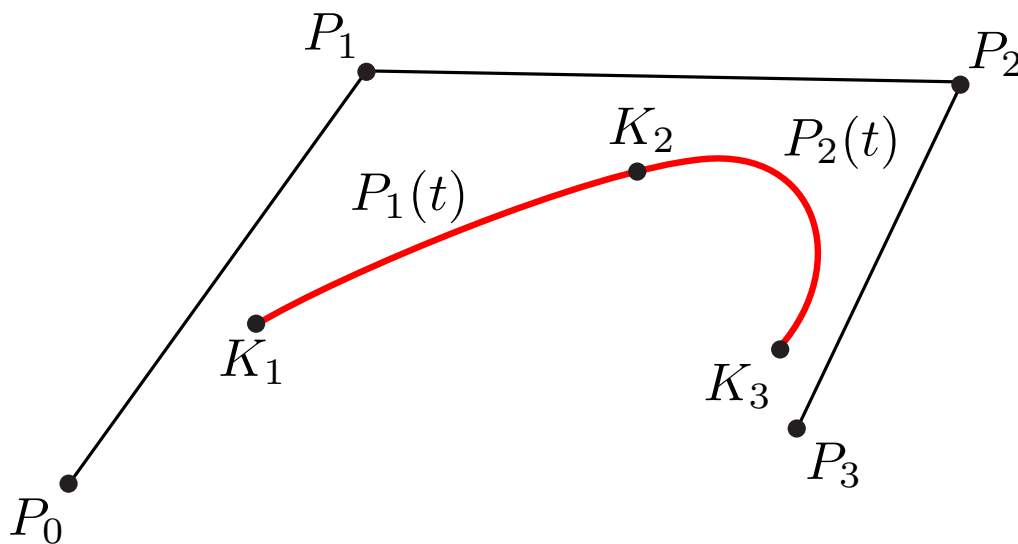
sketch of setting for  $n = 3$  (not accurate!)

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$$P_i(t) = (t^2, t, 1) \begin{pmatrix} ? & ? & ? \\ ? & ? & ? \\ ? & ? & ? \end{pmatrix} \begin{pmatrix} P_{i-1} \\ P_i \\ P_{i+1} \end{pmatrix}$$

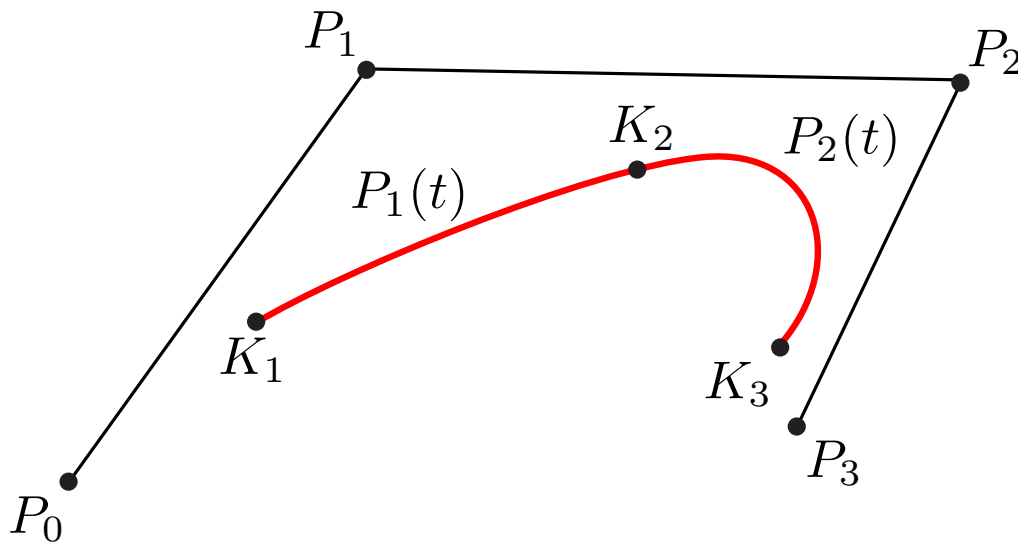
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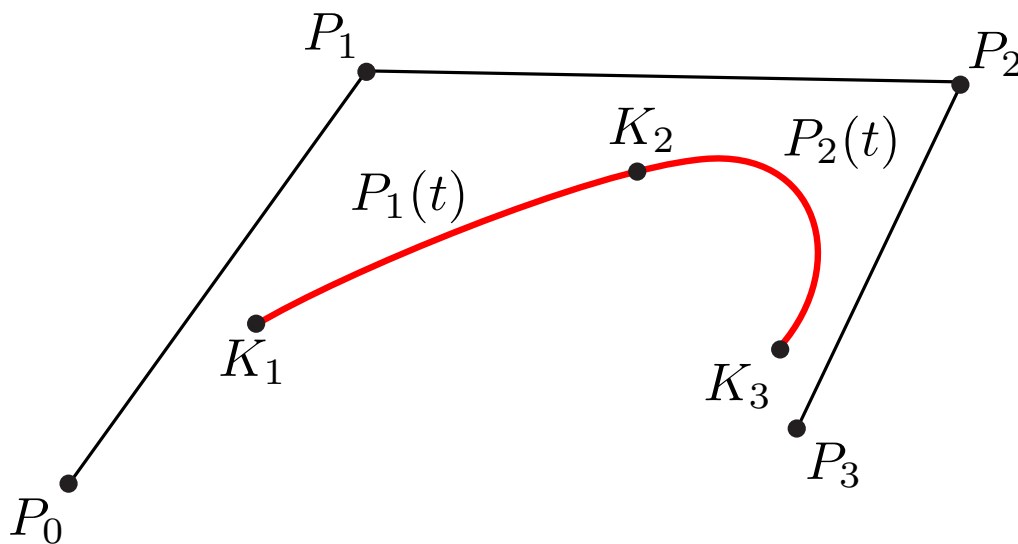
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Requirements:

1.  $P_1(t)$  and  $P_2(t)$  meet smoothly at common point ( $C^1$ -continuity)
2. Affine combination of control points

**Question:** What is the matrix?

# QUADRATIC UNIFORM B-SPLINES

Deriving the formula for the quadratic B-splines

$$P_i(t) = \frac{1}{2}(t^2, t, 1) \begin{pmatrix} 1 & -2 & 1 \\ -2 & 2 & 0 \\ 1 & 1 & 0 \end{pmatrix} \begin{pmatrix} P_{i-1} \\ P_i \\ P_{i+1} \end{pmatrix} = \frac{1}{2}(t^2 - 2t + 1)P_{i-1} + \frac{1}{2}(-2t^2 + 2t + 1)P_i + \frac{t^2}{2}P_{i+1}$$

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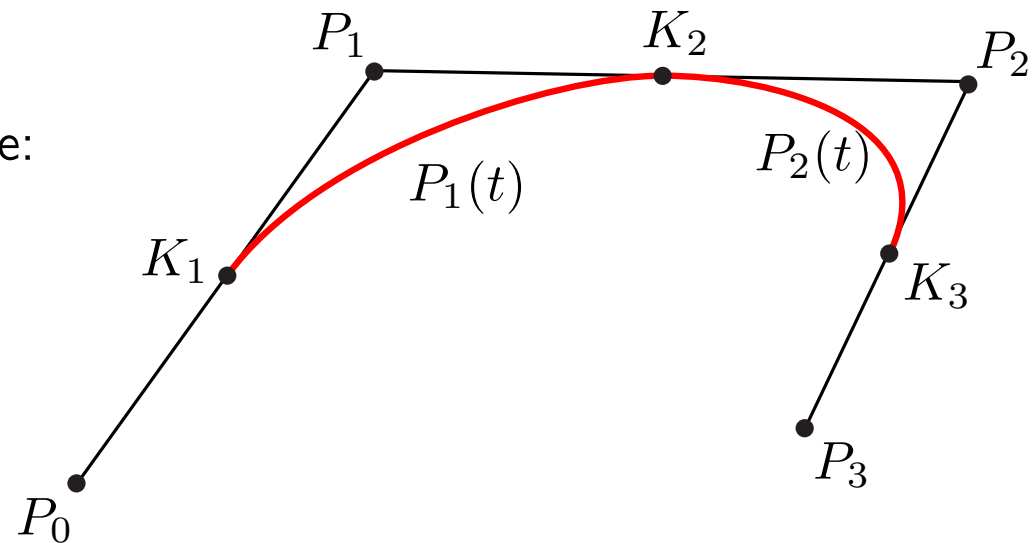
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More accurate picture

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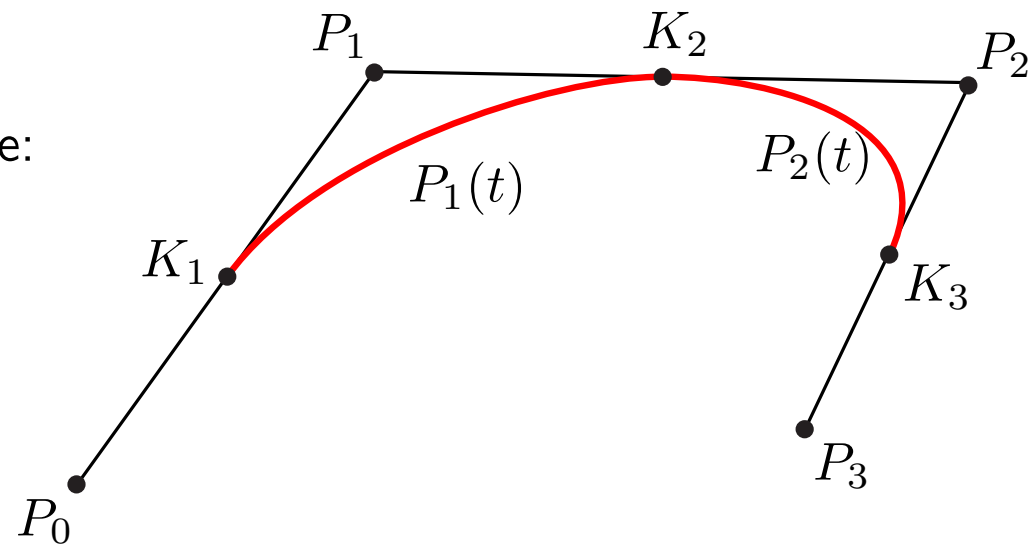
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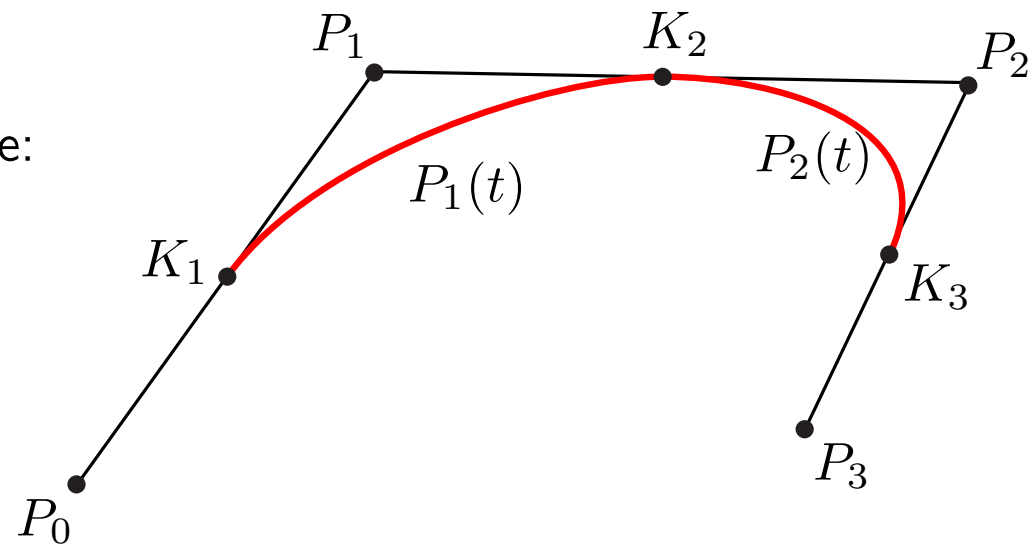
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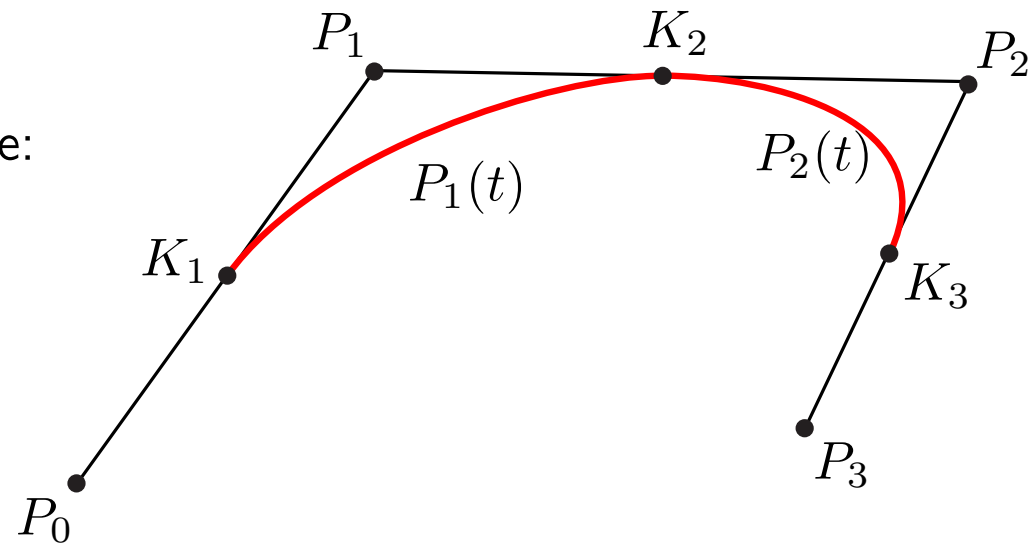
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More accurate picture

**Example:** use control points  $\{(1, 0), (1, 1), (2, 1), (2, 0)\}$

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Deriving the formula for the cubic B-splines

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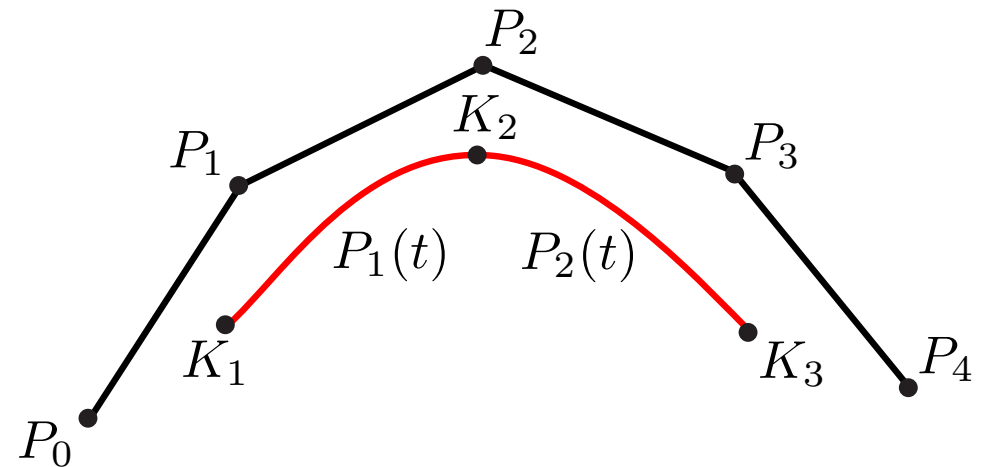
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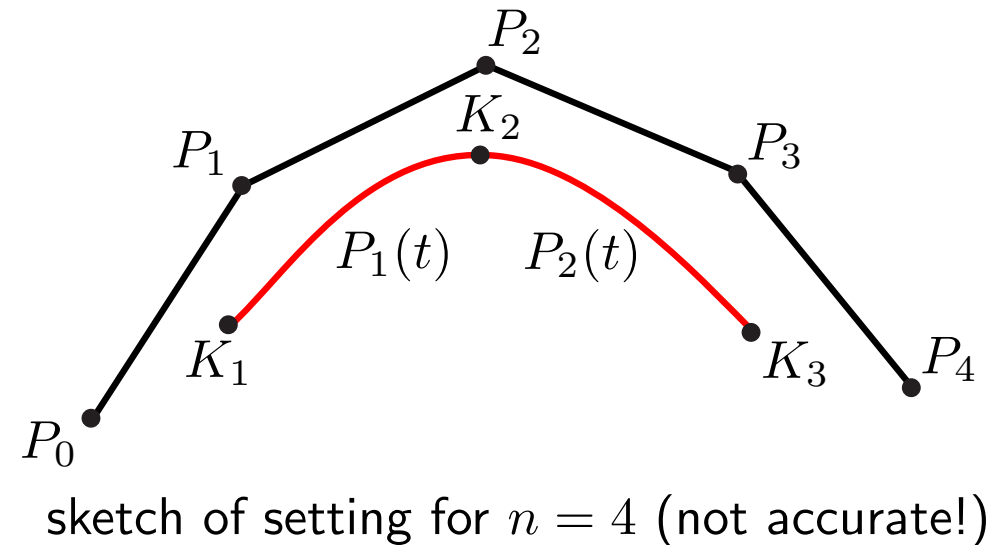
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Requirements:

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4 equations

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Matrix derived in similar way:

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15 equations

4 equations

16 of them are linearly independent  $\implies$   
unique solution

# CUBIC UNIFORM B-SPLINES

Formula for the cubic B-splines

# CUBIC UNIFORM B-SPLINES

## Formula for the cubic B-splines

$$P_i(t) = \frac{1}{6}(t^3, t^2, t, 1) \begin{pmatrix} -1 & 3 & -3 & 1 \\ 3 & -6 & 3 & 0 \\ -3 & 0 & 3 & 0 \\ 1 & 4 & 1 & 0 \end{pmatrix} \begin{pmatrix} P_{i-1} \\ P_i \\ P_{i+1} \\ P_{i+2} \end{pmatrix}$$

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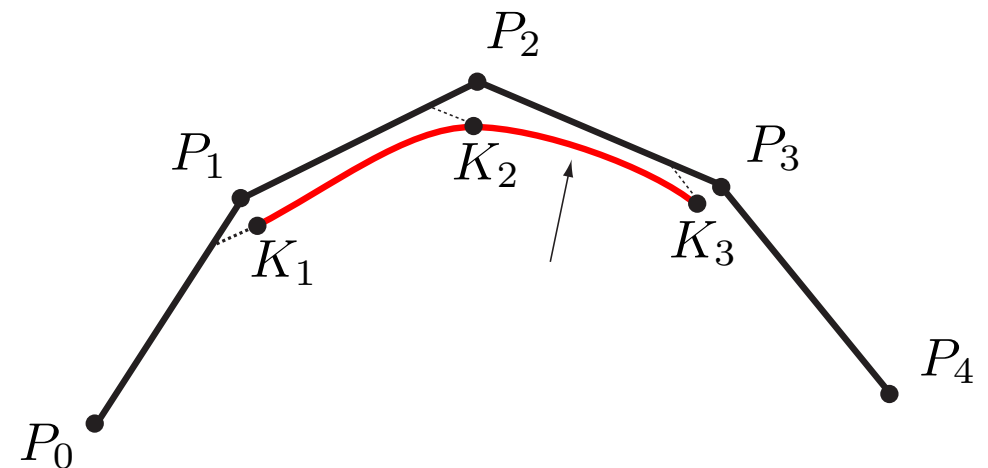
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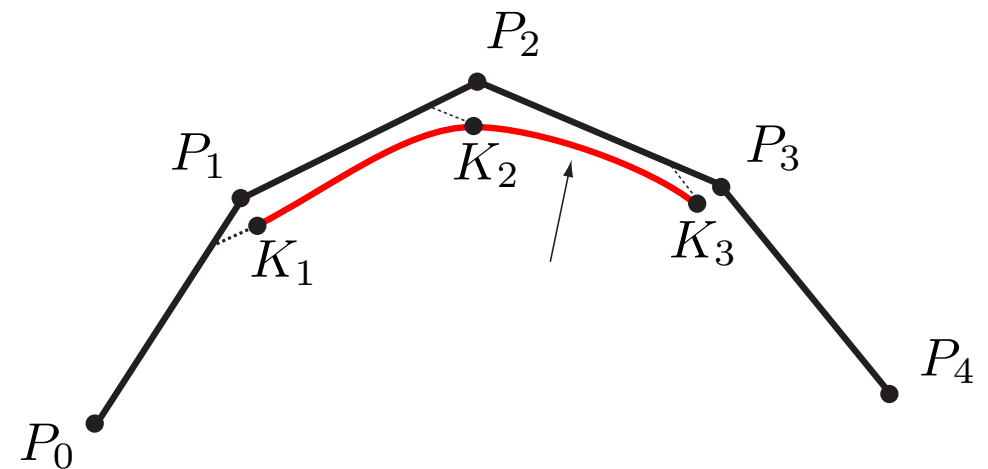
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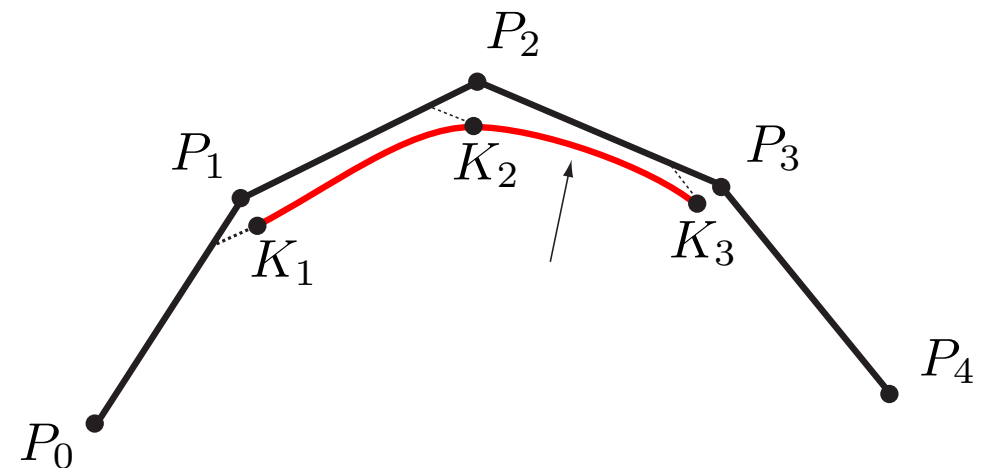
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Try it: <https://www.desmos.com/calculator/orbfk3lw1m>

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The two endpoints of each curve segment are

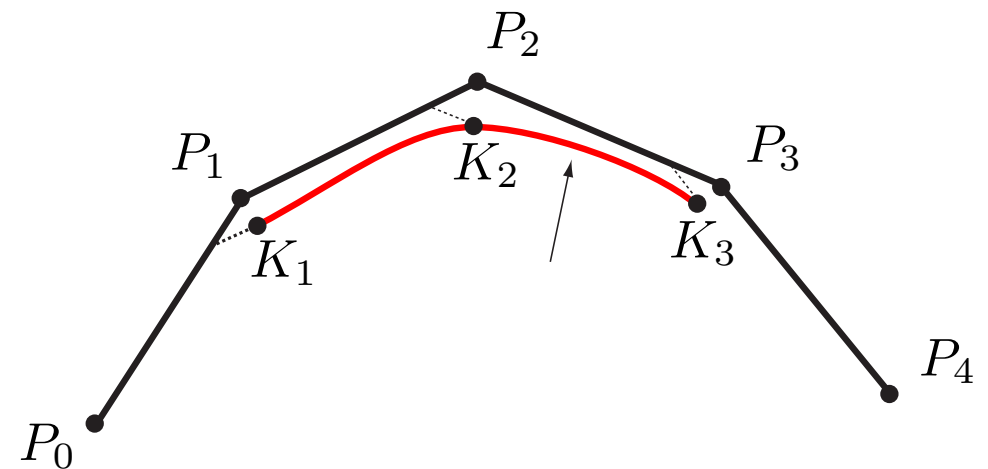
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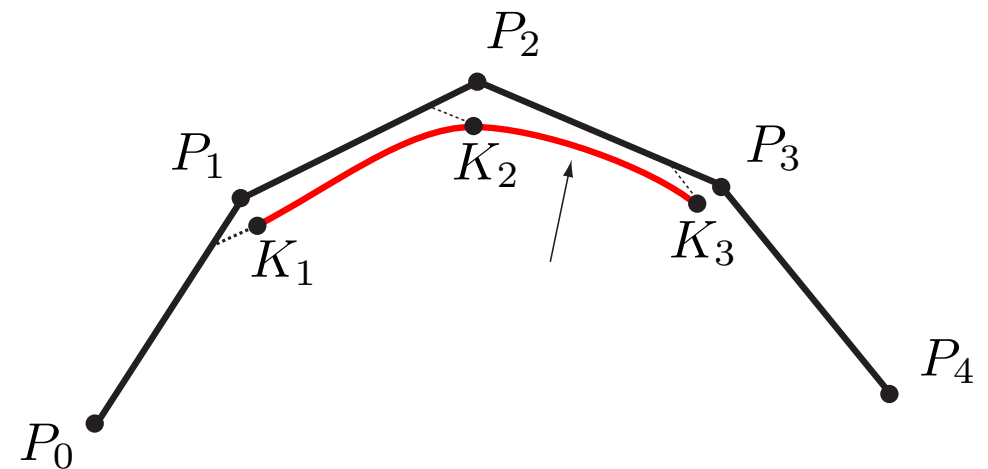
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Other geometric interpretations exist (e.g.,  $\frac{2}{3}$  rule)



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How can we force the curve go through  $P_0$  and  $P_n$ ?

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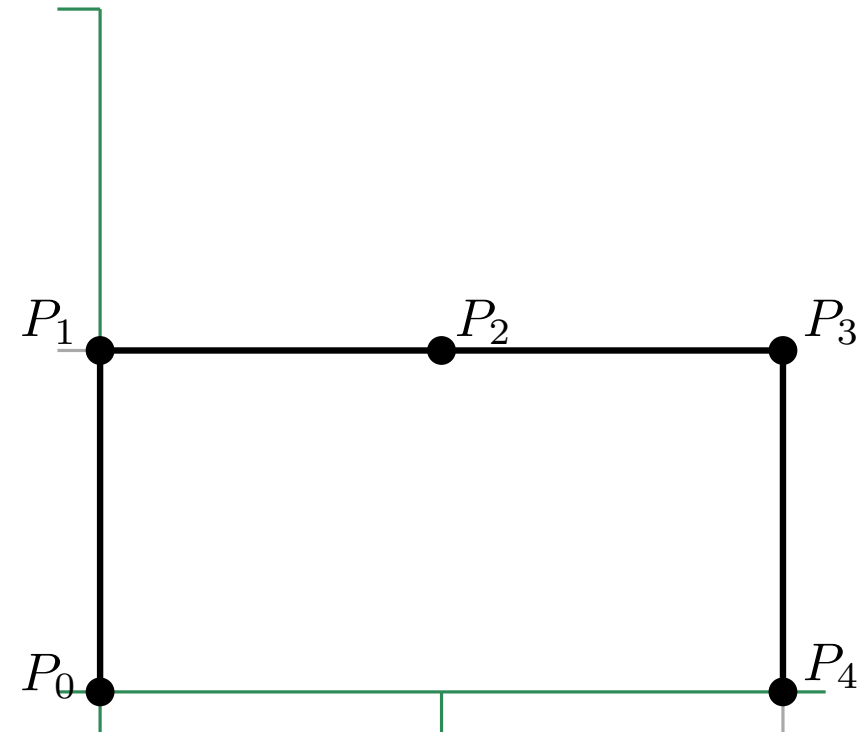
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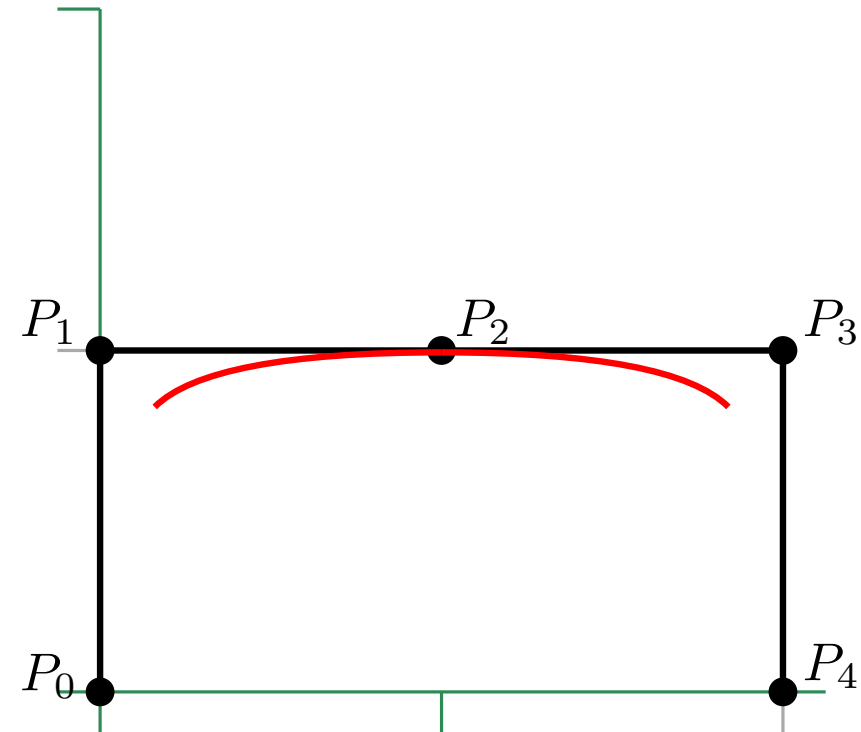
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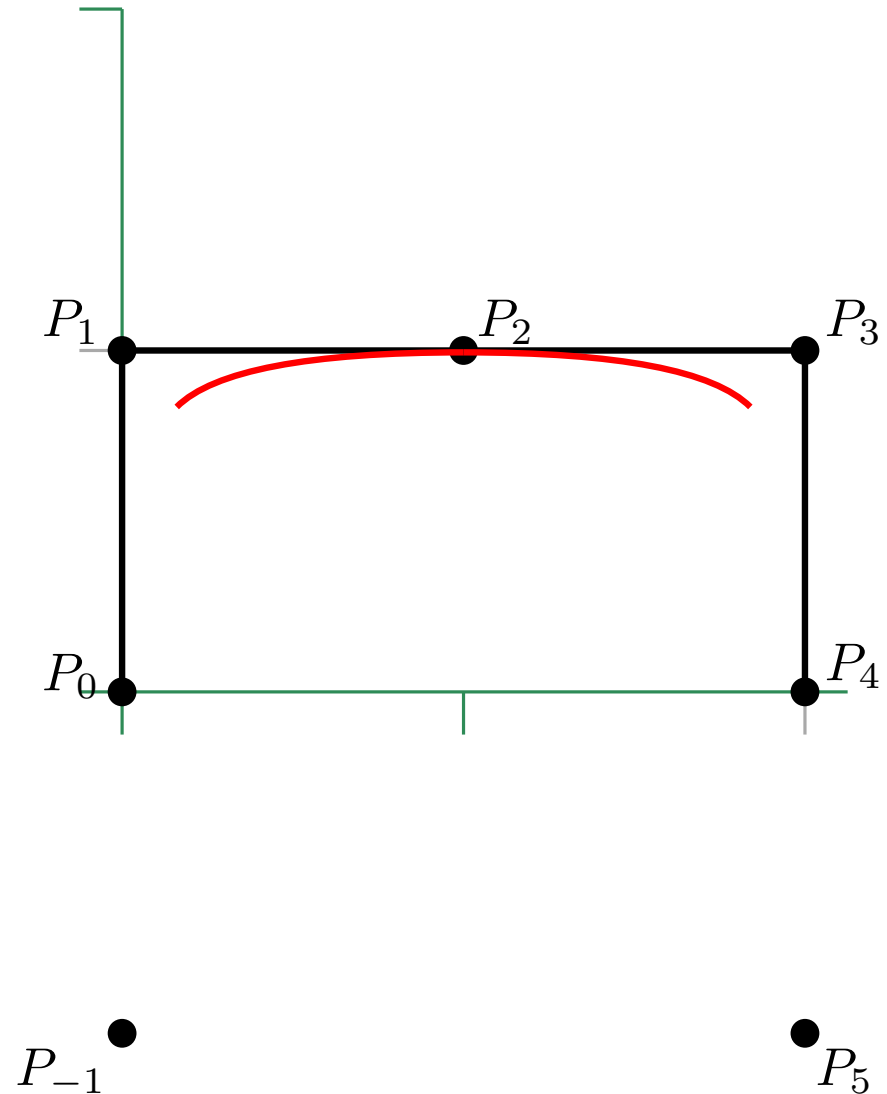
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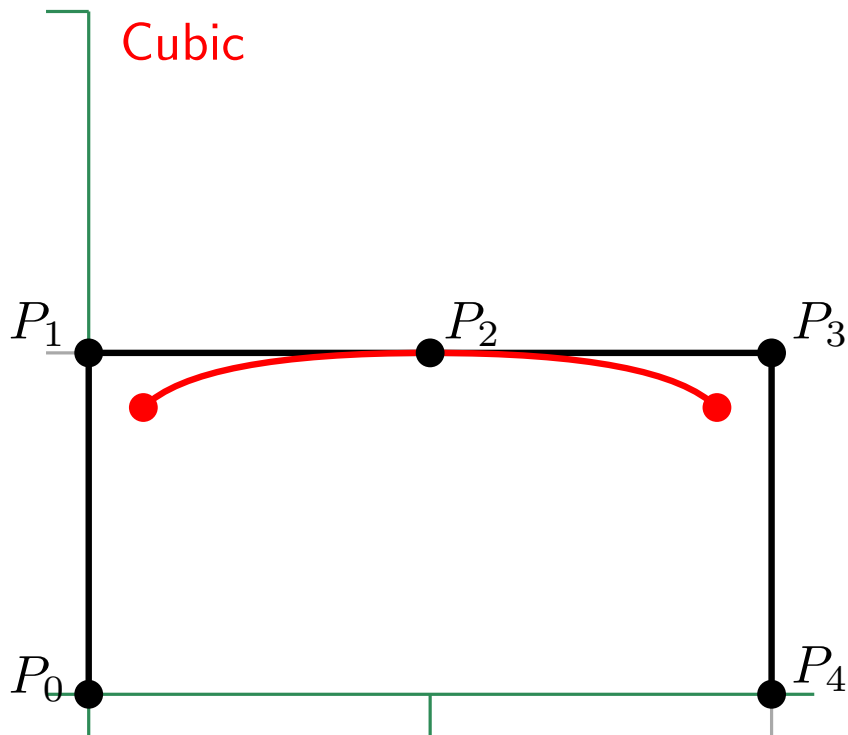
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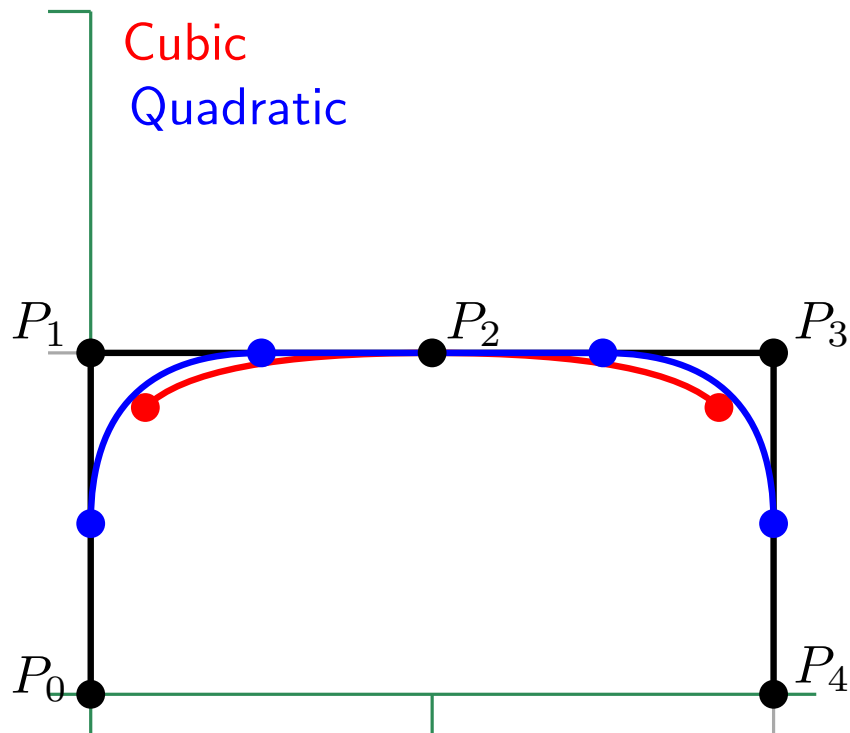
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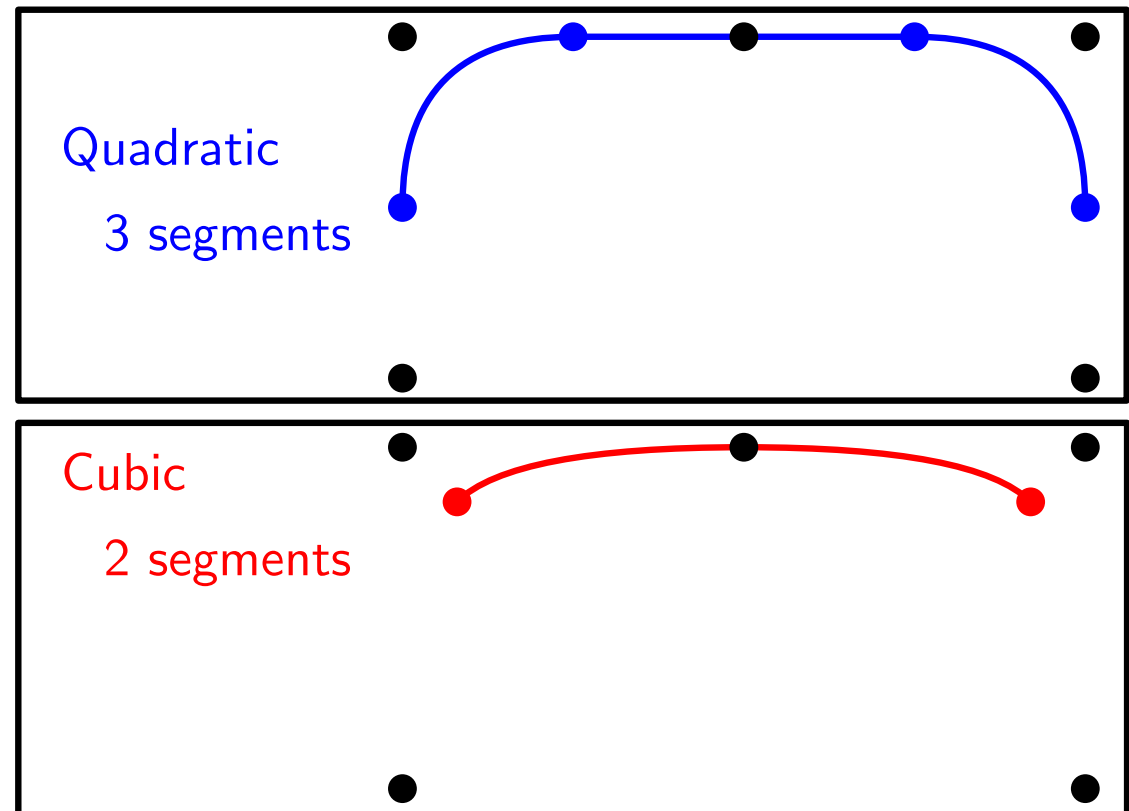
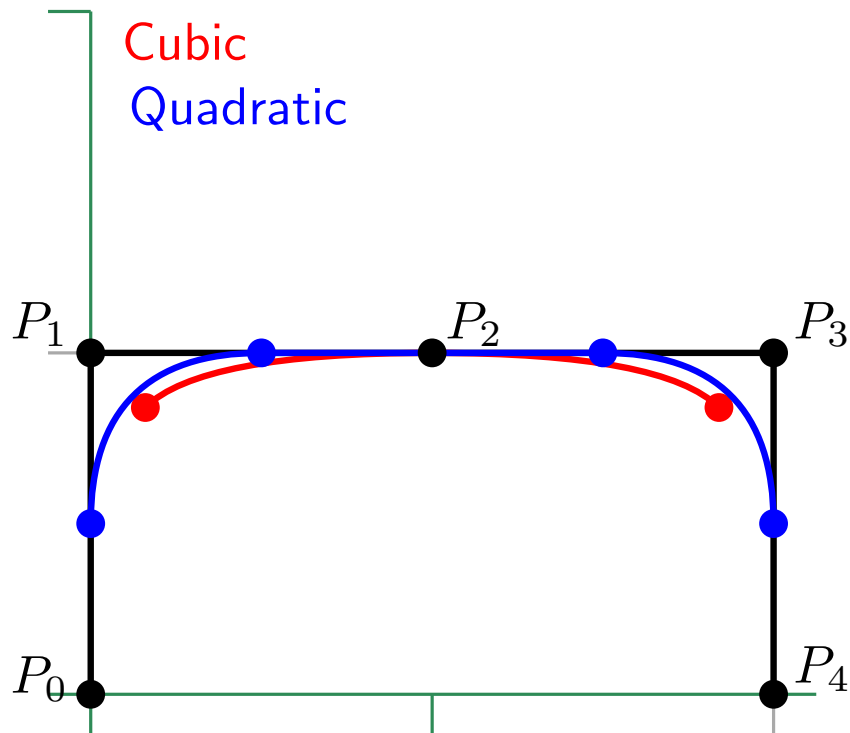
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# INCREASING THE ORDER

Higher order, better fit

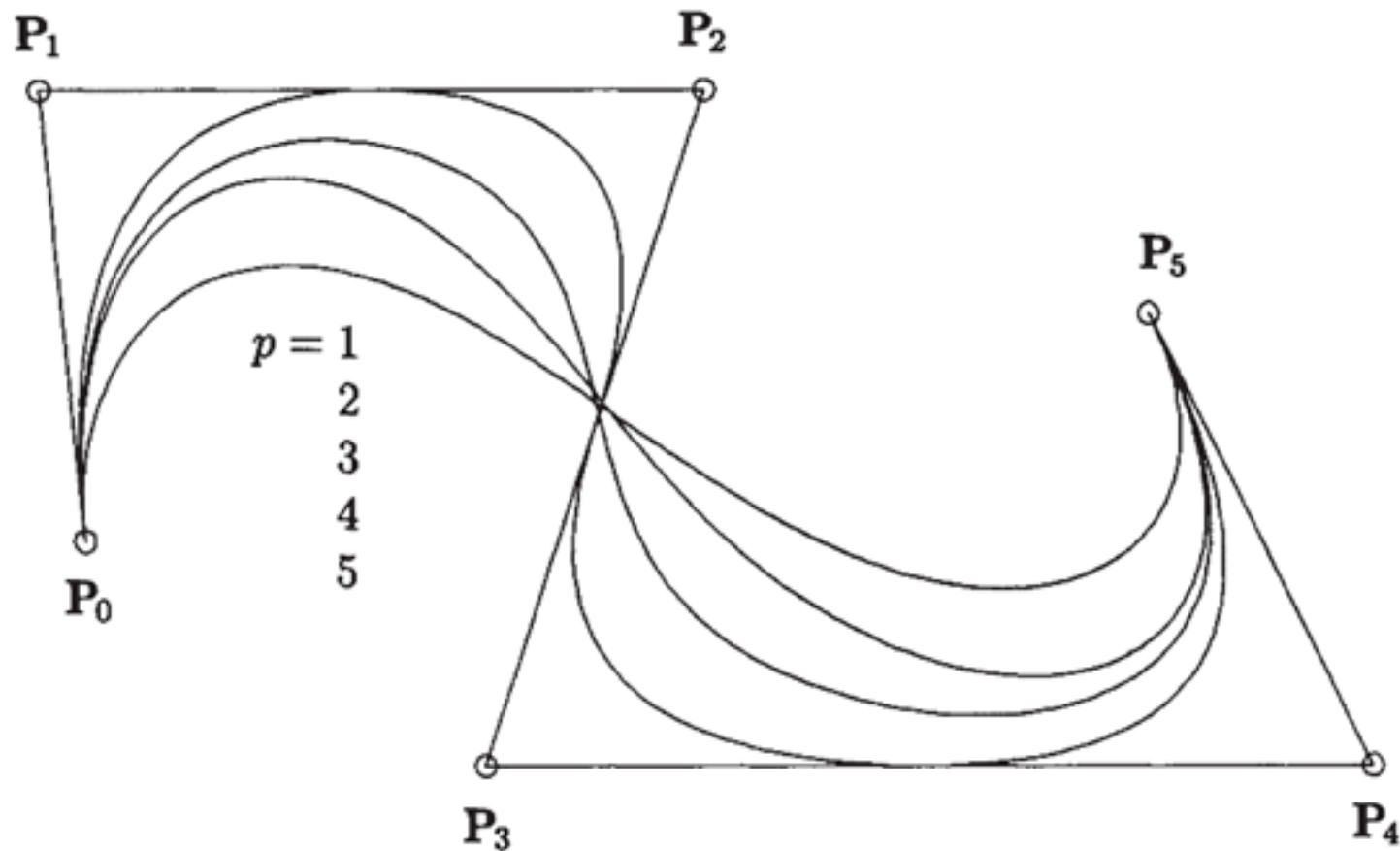


Figure 3.9. B-spline curves of different degree, using the same control polygon.

Where  $p$  is the degree of curve (i.e.,  $p = k - 1$ )

Figure from [Piegl and Tiller]

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Result:

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$$M_5 = \frac{1}{120} \begin{pmatrix} -1 & 5 & -10 & 10 & -5 & 1 \\ 5 & -20 & 30 & -20 & 5 & 0 \\ -10 & 20 & 0 & -20 & 10 & 0 \\ 10 & 20 & -60 & 20 & 10 & 0 \\ -5 & -50 & 0 & 50 & 5 & 0 \\ 1 & 26 & 66 & 26 & 1 & 0 \end{pmatrix}$$

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System with  $n + 3$  unknowns and  $n + 3$  equations that (one can check) is nonsingular

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└── in our example, since there are two segments, the parameter for the first one lives in  $[0, 1]$  and for the second one in  $[1, 2]$

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The cubic B-spline basis functions

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Each function should:

- Be a cubic polynomial
- Have its maximum near “its” control point
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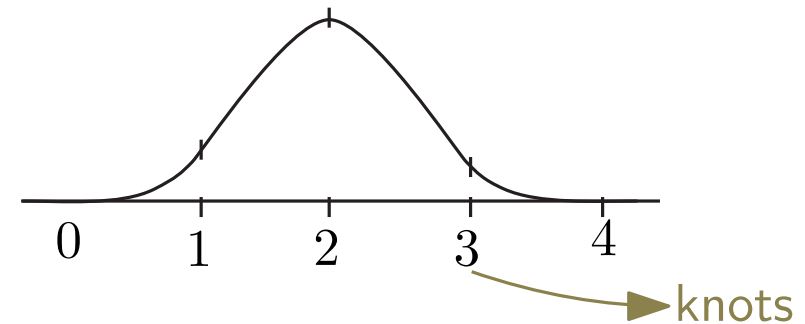
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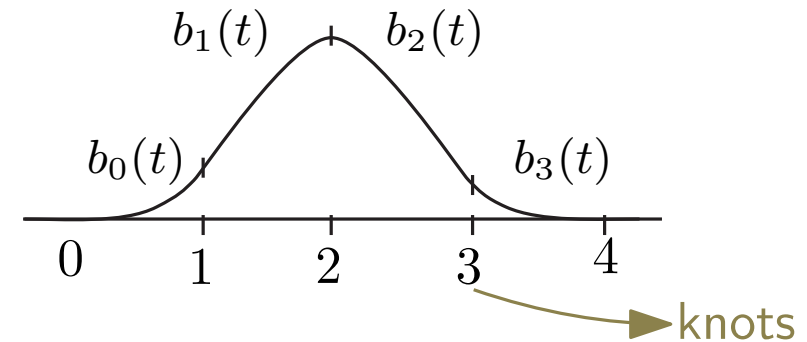
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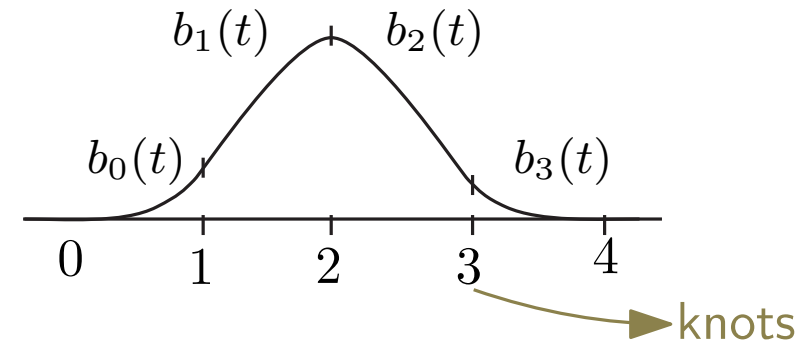
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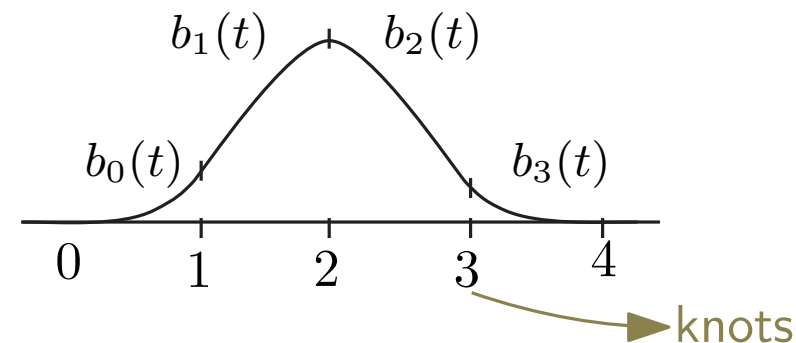
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Conditions sought for the  $b_i(t)$  functions:

- Affine invariant
- $C^2$ -continuous at three joints
- $b_0(t), b'_0(t), b''_0(t)$  should be zero at the start point  $b_0(0)$
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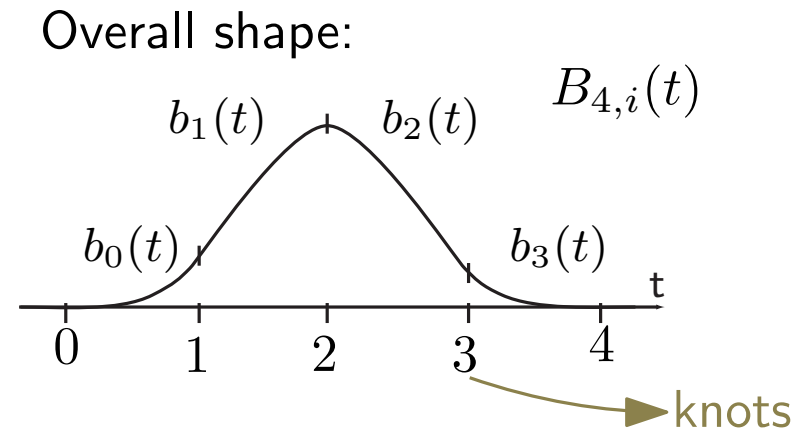
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How many equations on the coefficients of the  $b_i(t)$  functions do we obtain from these conditions?

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Solution to the equations:

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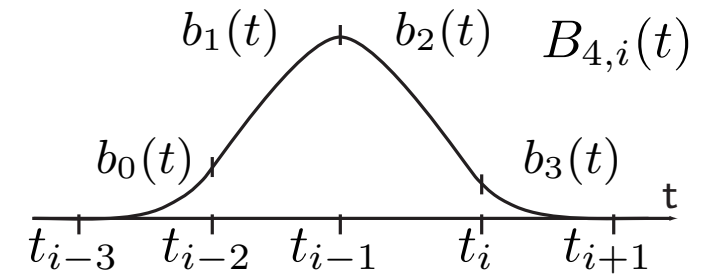
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$$\mathbf{N}_{i,4}(\mathbf{t}) = B_{4,i}(t) = \begin{cases} b_0(t) = \frac{1}{6}t^3 & t_{i-3} \leq t \leq t_{i-2} \\ b_1(t) = \frac{1}{6}(1 + 3t + 3t^2 - 3t^3) & t_{i-2} \leq t \leq t_{i-1} \\ b_2(t) = \frac{1}{6}(4 - 6t^2 + 3t^3) & t_{i-1} \leq t \leq t_i \\ b_3(t) = \frac{1}{6}(1 - 3t + 3t^2 - t^3) & t_i \leq t \leq t_{i+1} \end{cases}$$



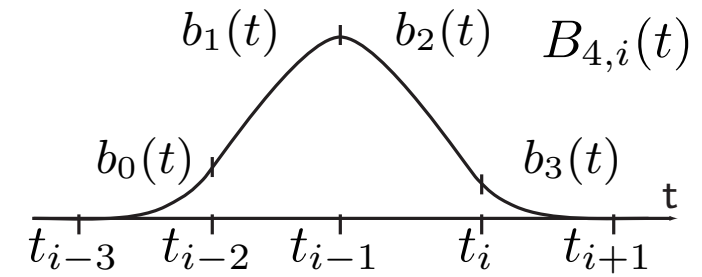
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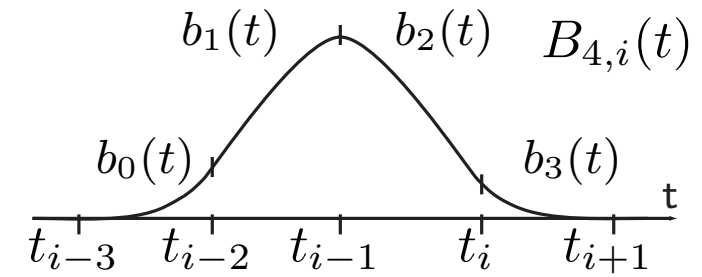
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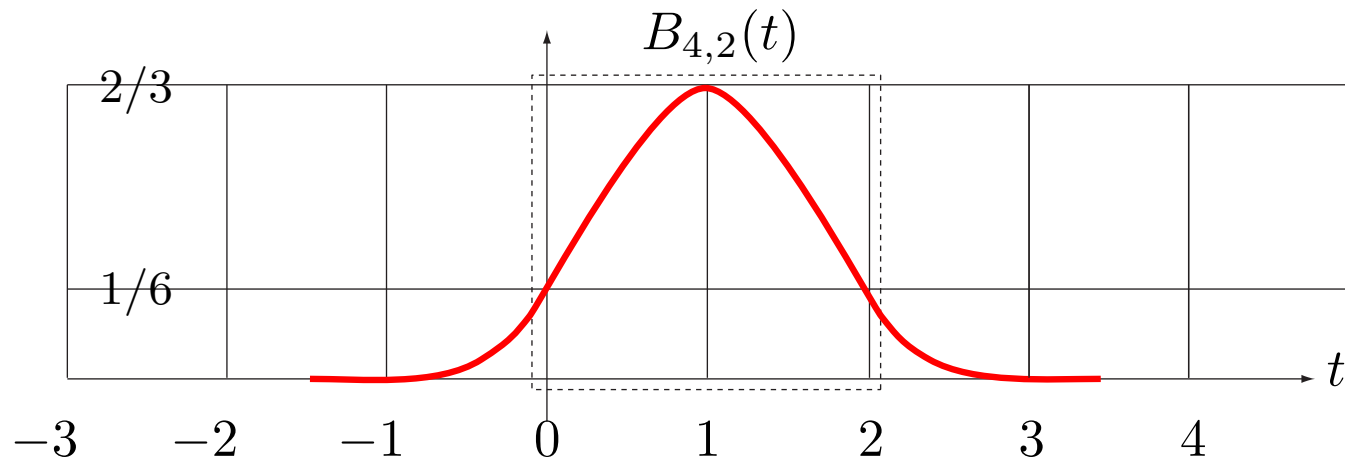
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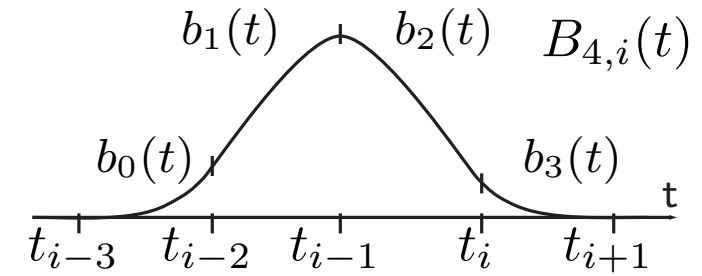


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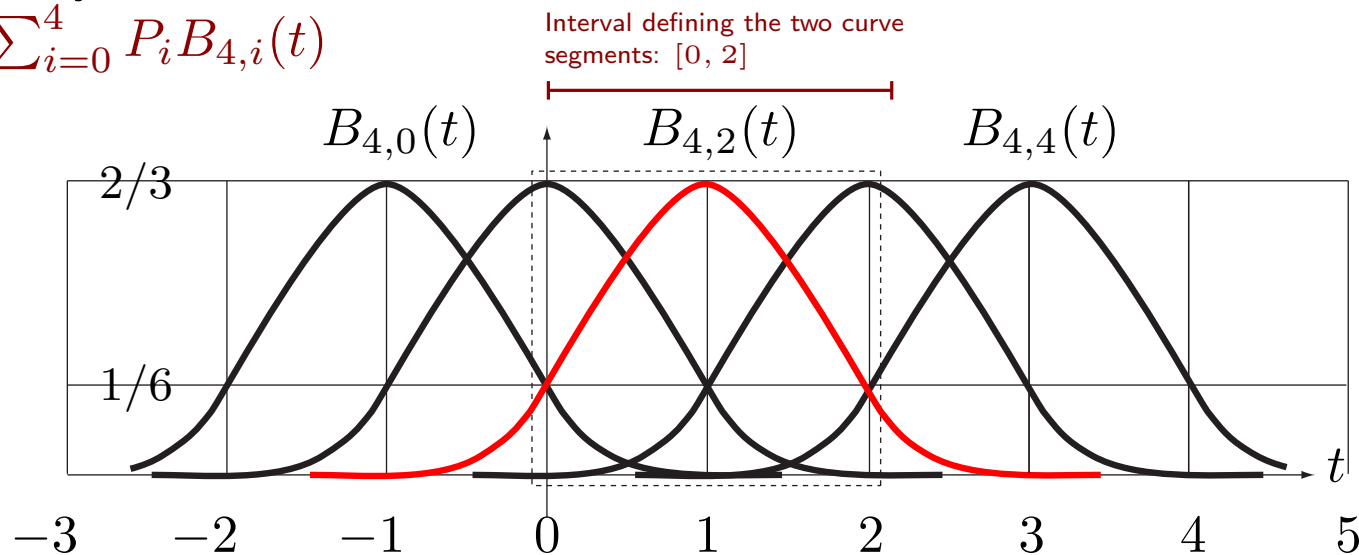
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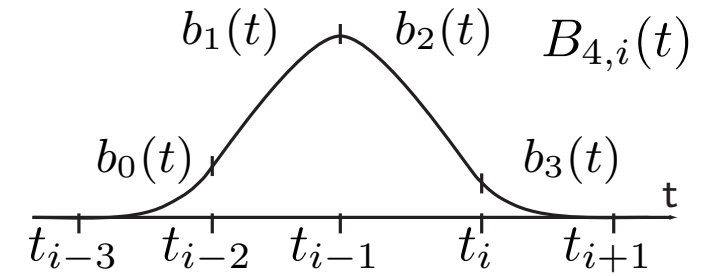


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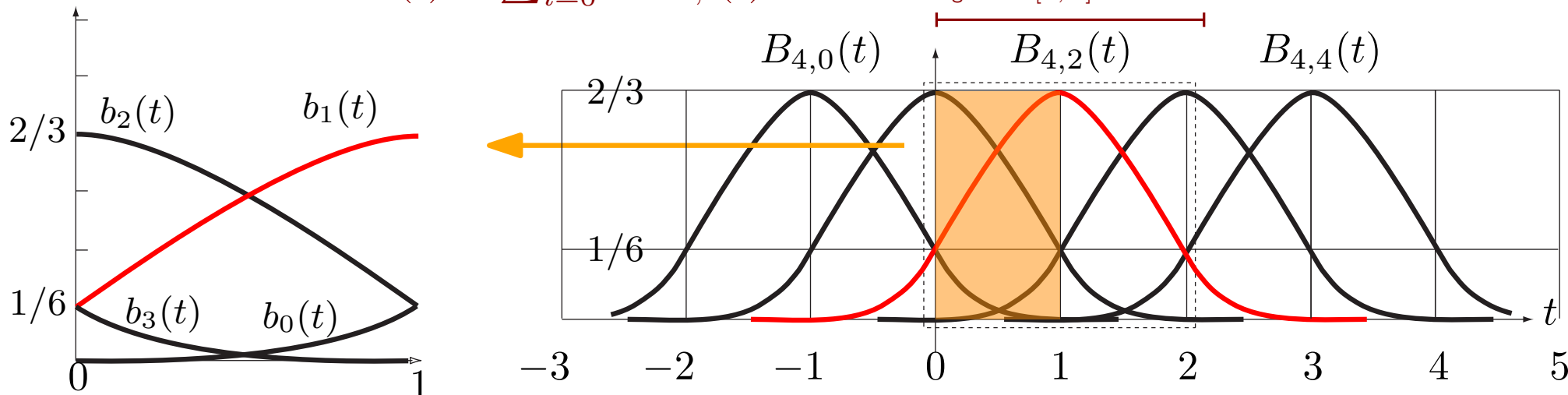
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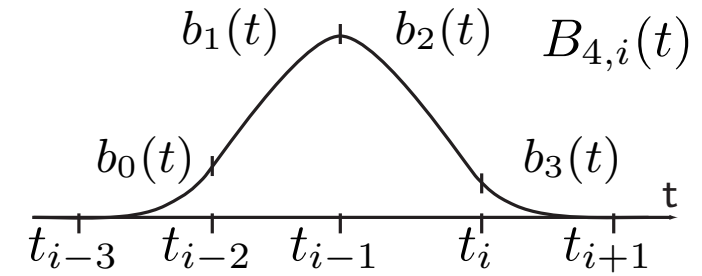


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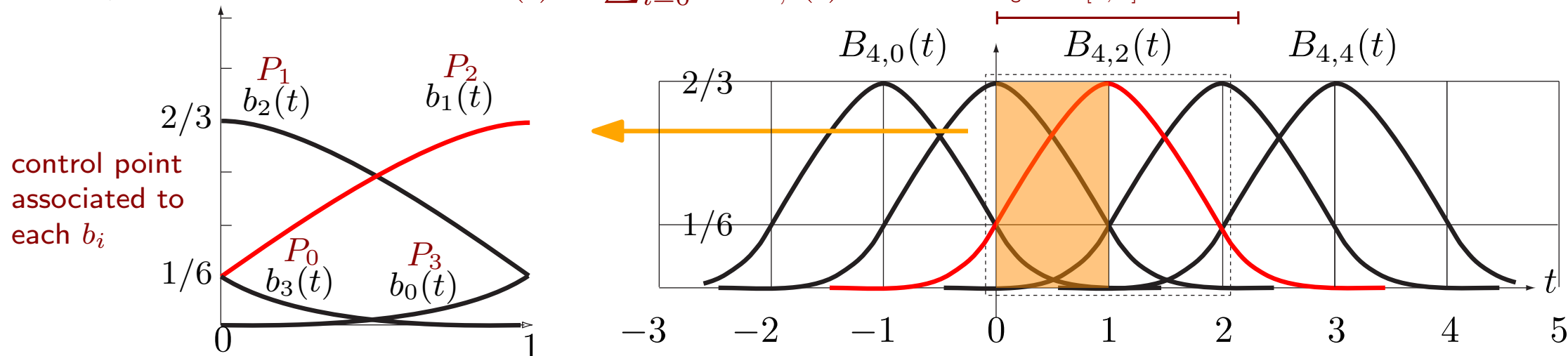
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Note that #knots is #  
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(e.g., cubic curve has  $k = 4$ )

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Order 1 ( $k = 1$ , degree 0)

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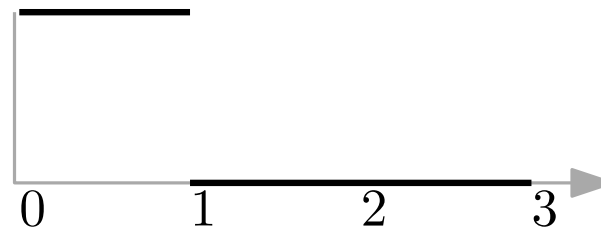
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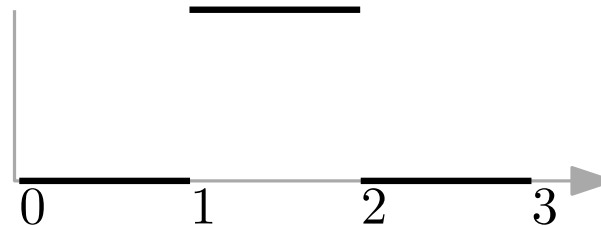
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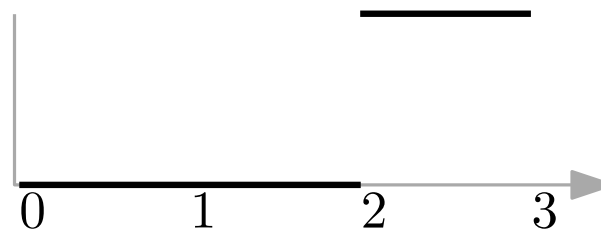
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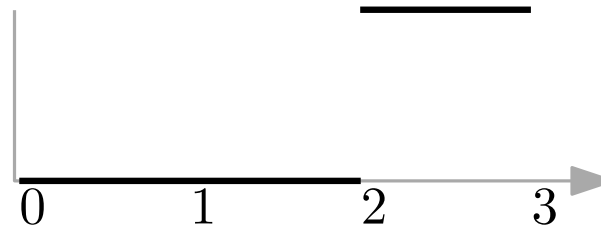
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Note: for simplicity,  
we take  $\frac{x}{0}$ , for any  
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# KNOT VECTOR-BASED APPROACH

## Examples of B-spline basis functions

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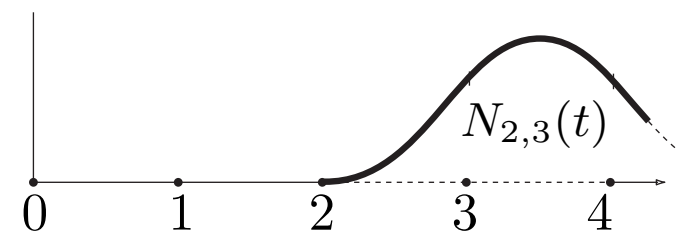
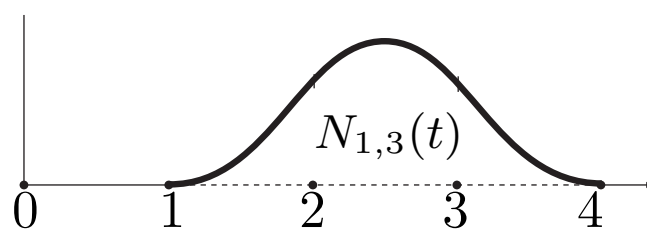
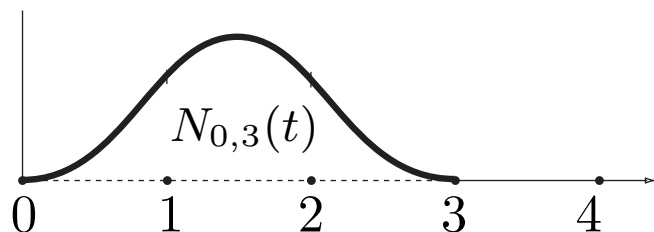
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6) Continuity

For uniform knots, the curve and its  $k - 1$  derivatives are continuous  
(Non-uniform B-Splines can have discontinuities at knot values!)

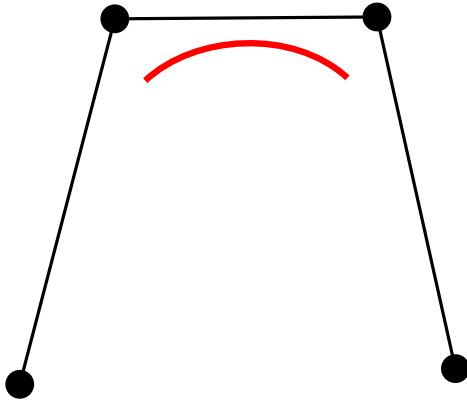
# UNDERSTANDING KNOT VECTORS

Open (or clamped) uniform B-Splines

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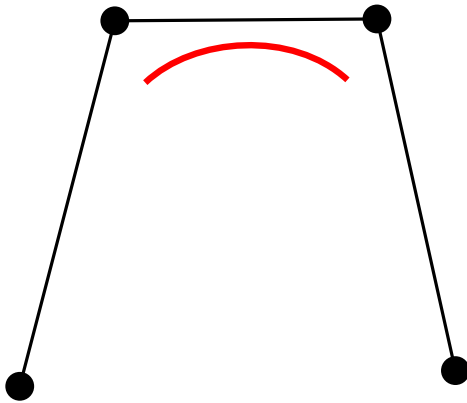
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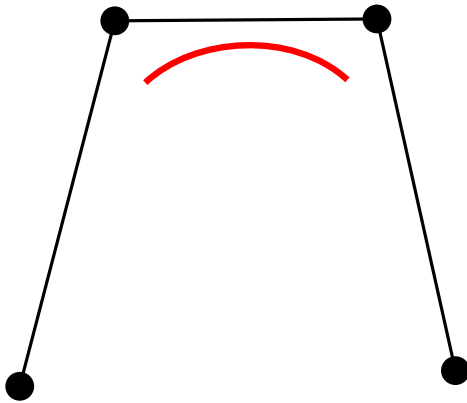
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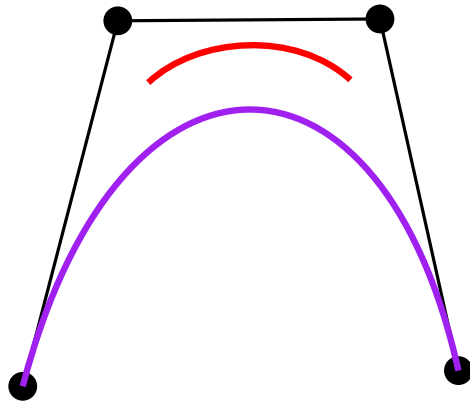
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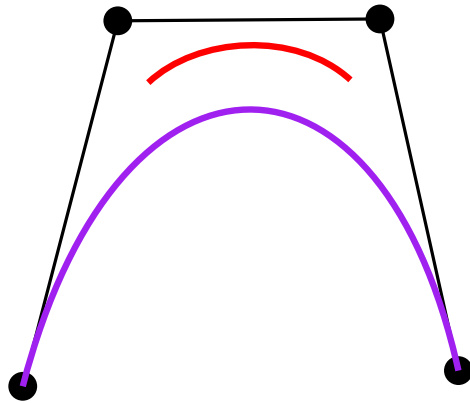
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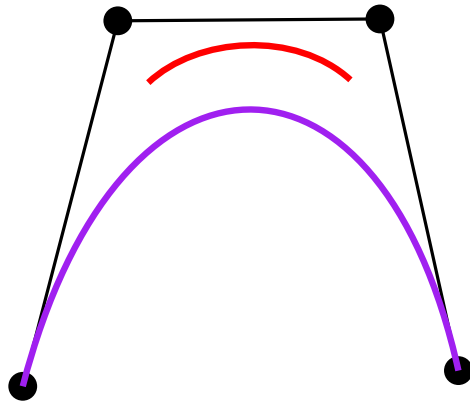
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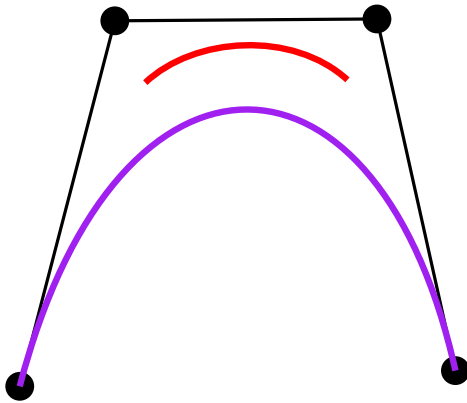
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**Example:** compute open basis functions for  
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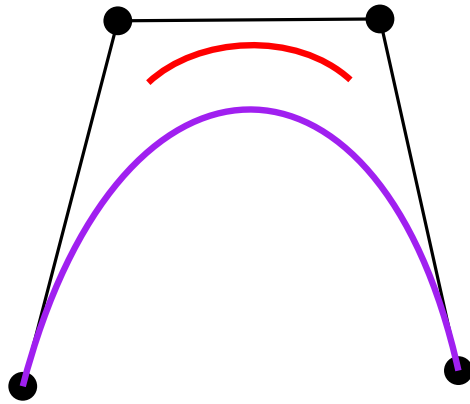
Cubic Bézier curve?  $\longrightarrow$  **Always the case when  $k = n + 1$**

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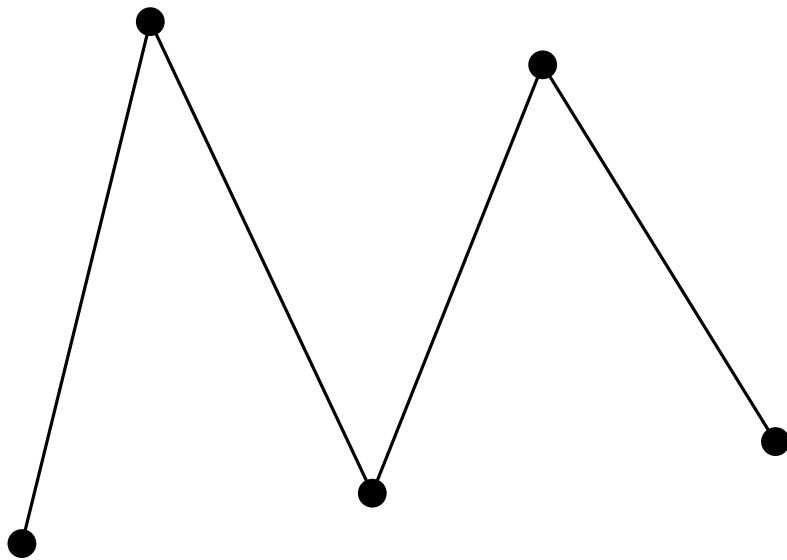
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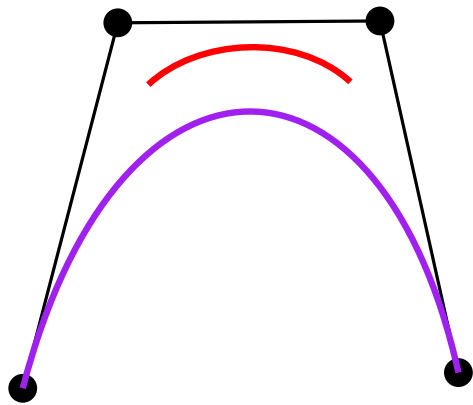
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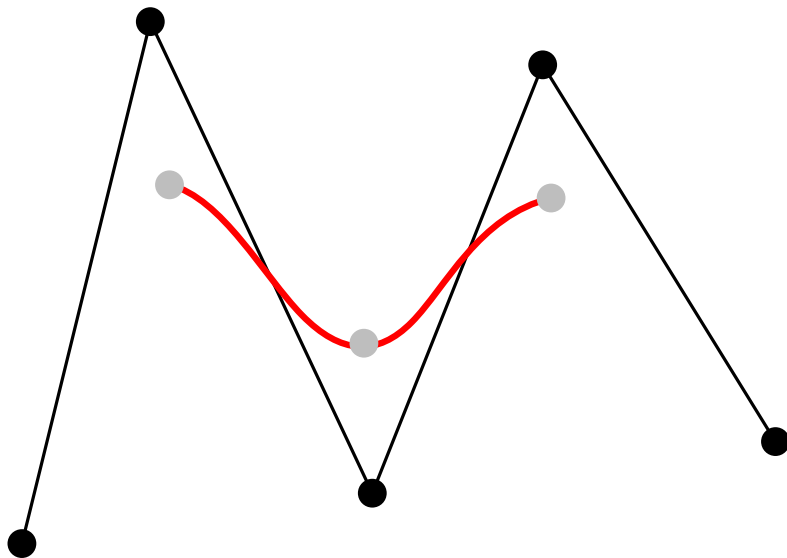
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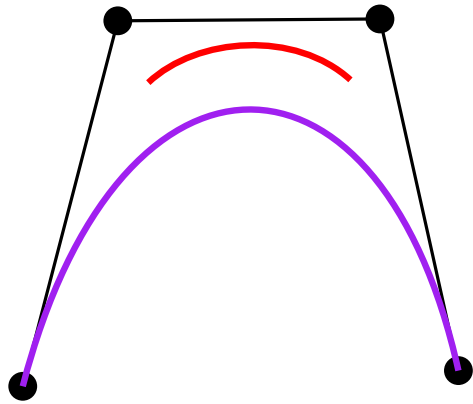
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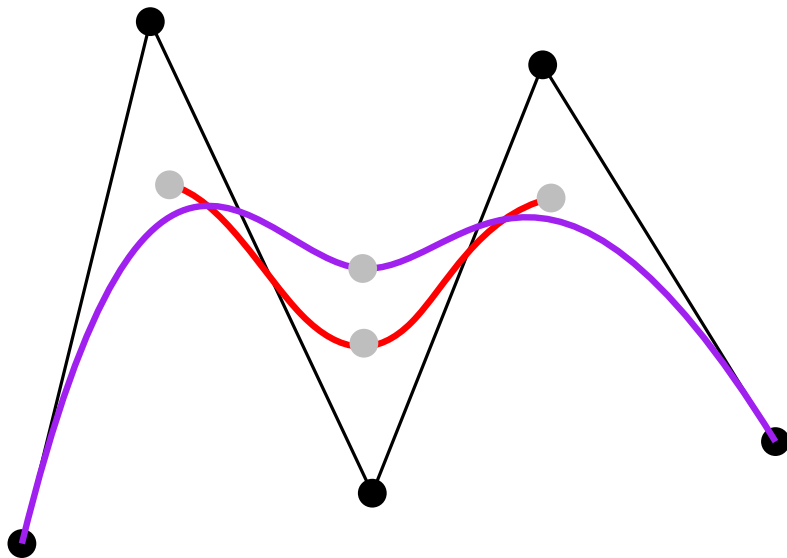
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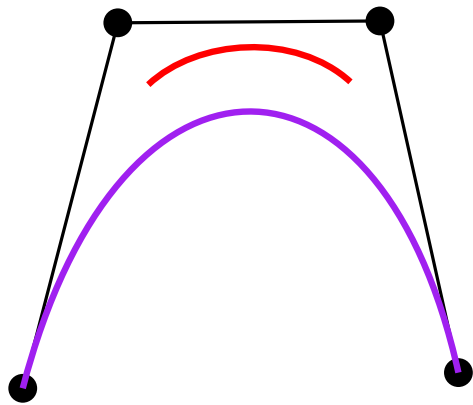
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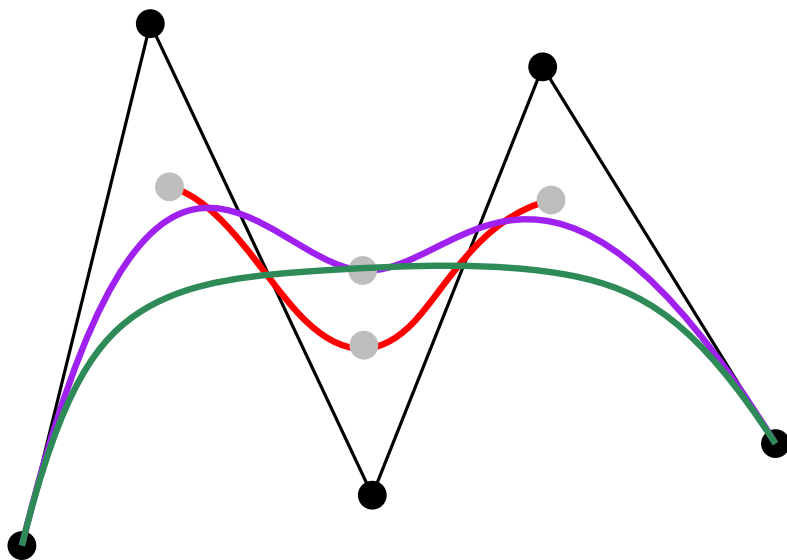
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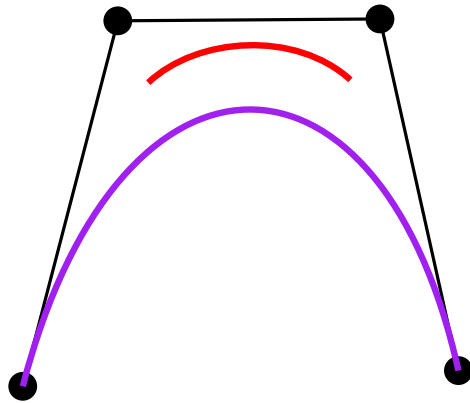
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degree-4 Bézier—knot vector:  $(0, 0, 0, 0, 0, 1, 1, 1, 1, 1)$

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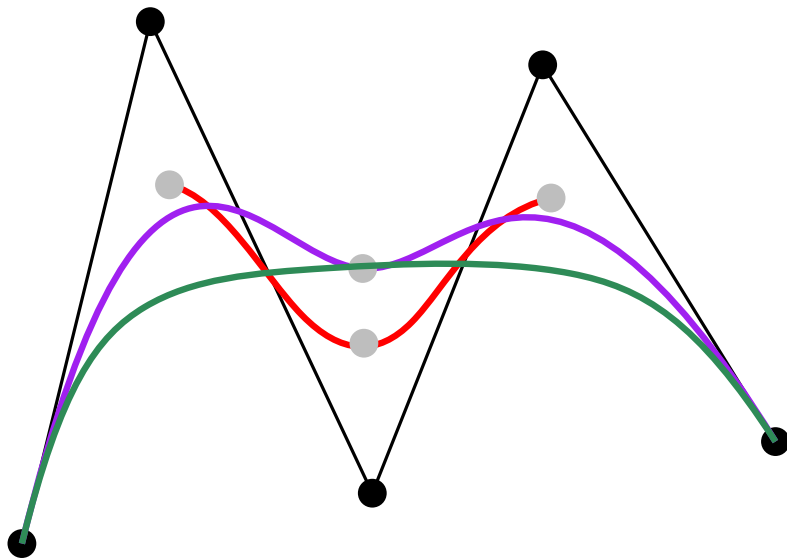
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uniform knot vector:  $(0, 1/7, 2/7, 3/7, 4/7, 5/7, 6/7, 1)$

“open” knot vector:  $(0, 0, 0, 0, 1, 1, 1, 1)$

Cubic Bézier curve?



$n = 4$  (5 control points)

$k = 4$  (cubic B-spline)

uniform knot vector:  $(0, 1/8, 2/8, 3/8, 4/8, 5/8, 6/8, 7/8, 1)$

“open” knot vector:  $(0, 0, 0, 0, 0.5, 1, 1, 1, 1)$

degree-4 Bézier—knot vector:  $(0, 0, 0, 0, 0, 1, 1, 1, 1, 1)$

Open uniform B-spline curves always start at  $P_0$  and end at  $P_n$ . Tangents are also like in Bézier curves

# UNDERSTANDING KNOT VECTORS

## Example for quadratic open B-Splines

**Exercise:** compute basis functions for 5 control points ( $n = 4$ ) and  $k = 3$  (i.e., quadratic open B-splines)

# UNDERSTANDING KNOT VECTORS

## Example for quadratic open B-Splines

**Exercise:** compute basis functions for 5 control points ( $n = 4$ ) and  $k = 3$  (i.e., quadratic open B-splines)

Recall:

- knot vector:  $(0, 0, 0, 1, 2, 3, 3, 3)$
- $t$  goes from  $t_{k-1} = t_2 = 0$  to  $t_{n+1} = t_5 = 3$
- need to compute 5 bases:  $N_{0,3}(t)$  to  $N_{4,3}(t)$

$$N_{i,1} = \begin{cases} 1, & \text{if } t \in [t_i, t_{i+1}) \\ 0, & \text{otherwise} \end{cases} \quad N_{i,k}(t) = \frac{t - t_i}{t_{i+k-1} - t_i} N_{i,k-1}(t) + \frac{t_{i+k} - t}{t_{i+k} - t_{i+1}} N_{i+1,k-1}(t)$$

# UNDERSTANDING KNOT VECTORS

## More examples

Bézier vs open B-Spline of order 3  
where  $n = 9$  and  $k = 3$

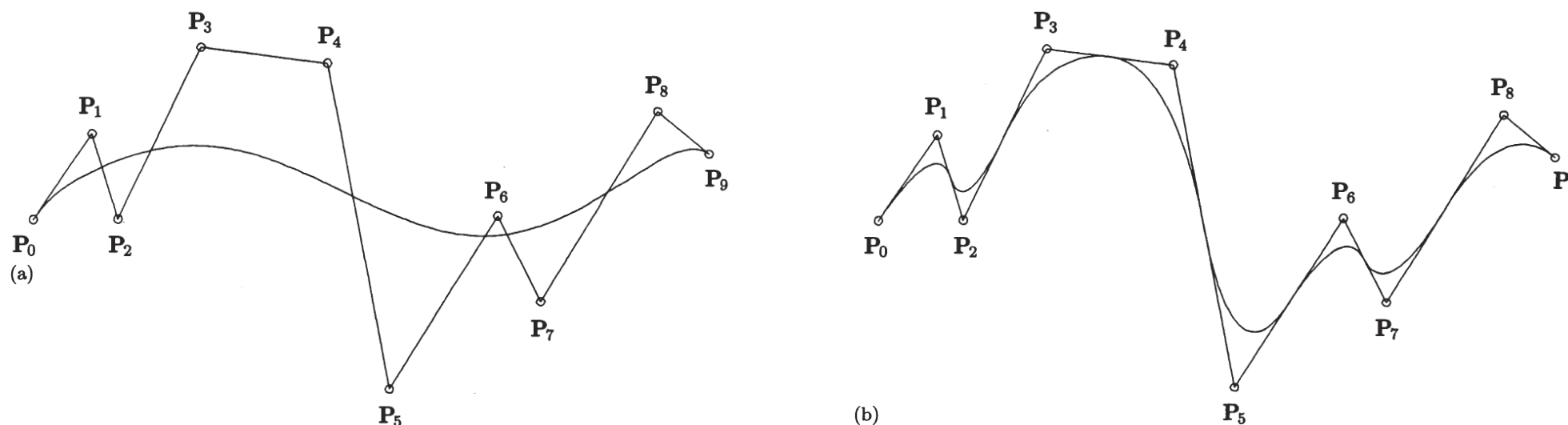


Figure 3.8. B-spline curves. (a) A ninth-degree Bézier curve on the knot vector  $U = \{0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 1, 1, 1, 1, 1, 1, 1, 1\}$ ; (b) a quadratic curve using the same control polygon defined on  $U = \{0, 0, 0, 1/8, 2/8, 3/8, 4/8, 5/8, 6/8, 7/8, 1, 1, 1\}$ .

# NON-UNIFORM B-SPLINES

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Effect of knot multiplicity for  $k = 4$  (cubic)

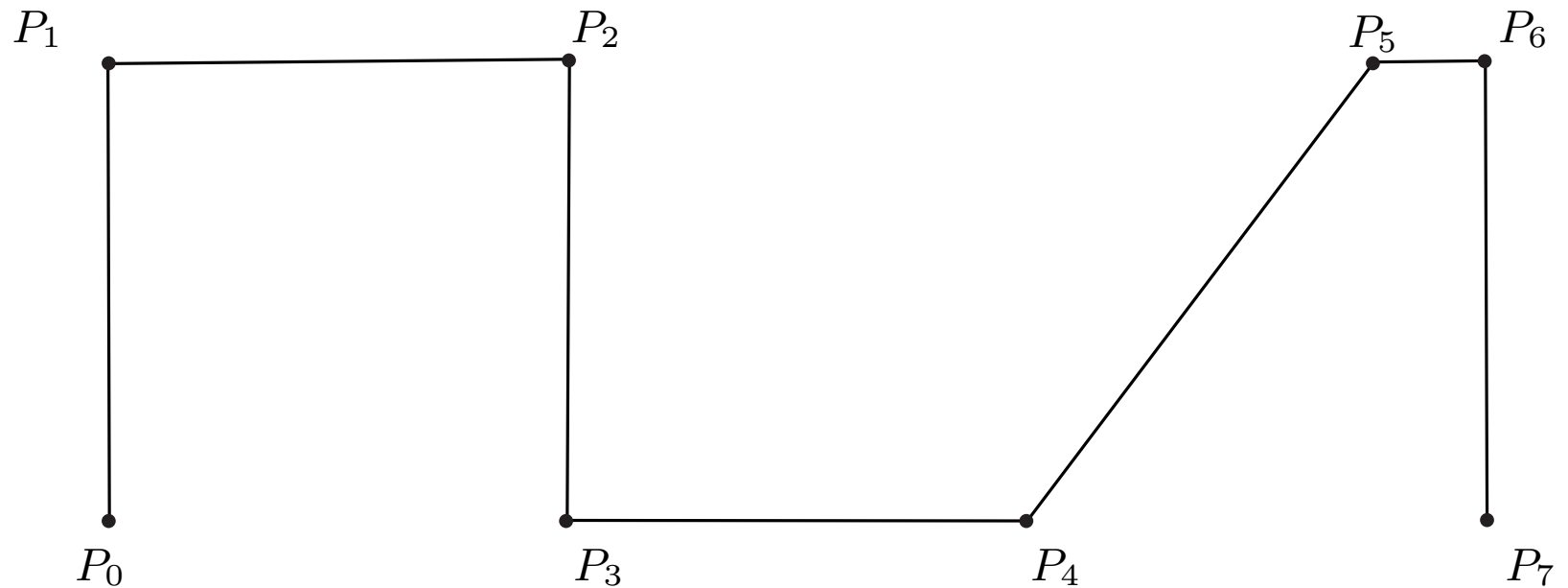
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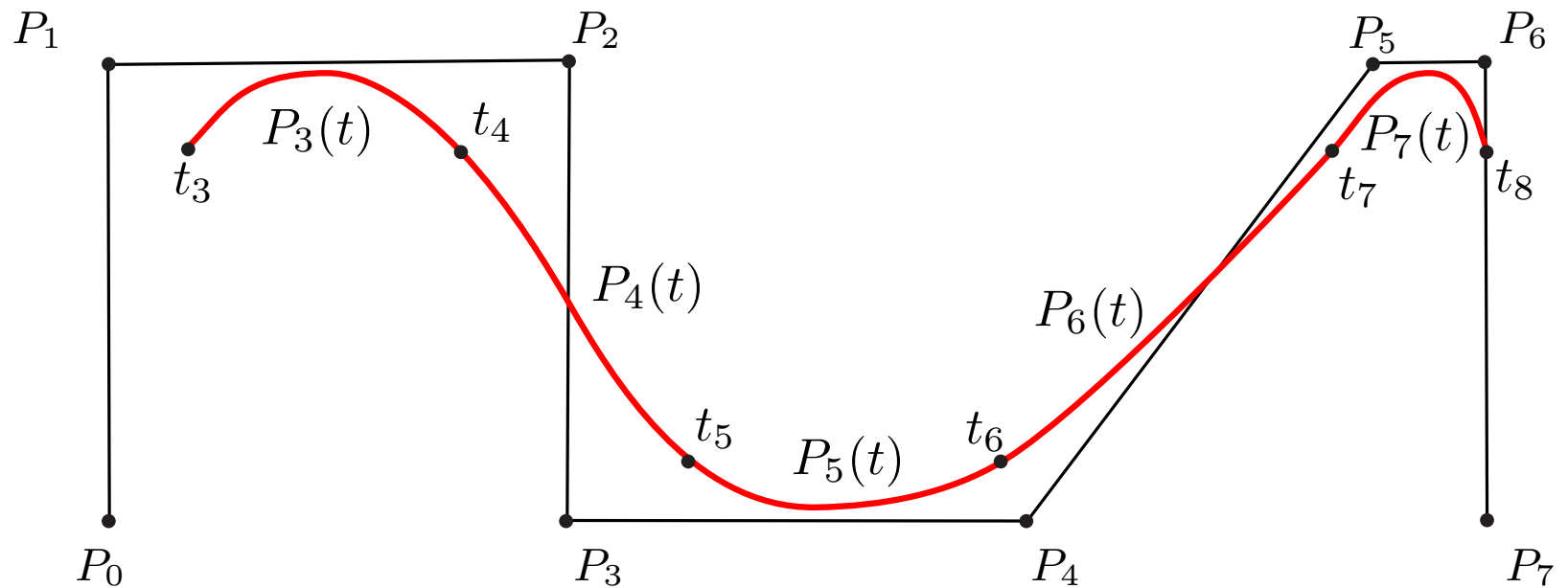
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Effect of knot multiplicity for  $k = 4$  (cubic)



Knot vector:

$(-3, -2, -1, 0, 1, 2, 3, 4, 5, 6, 7, 8)$

$i$  0 1 2 3 4 5 6 7 8 9 10 11

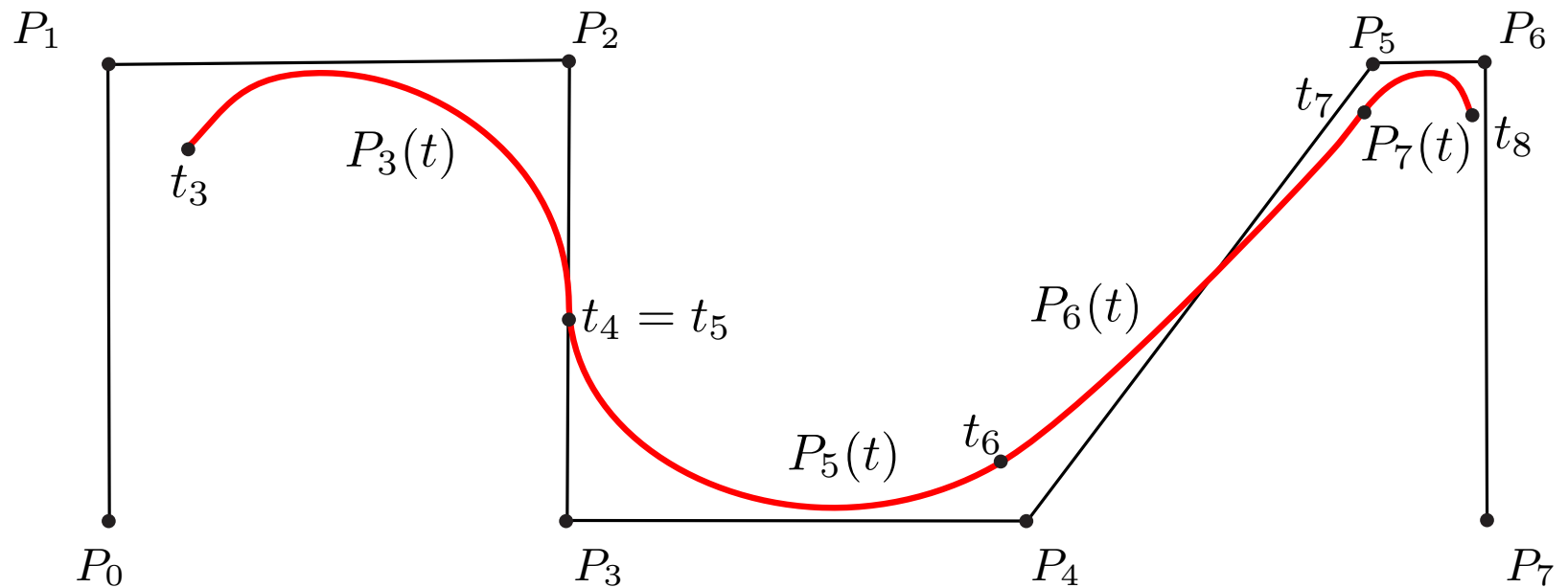
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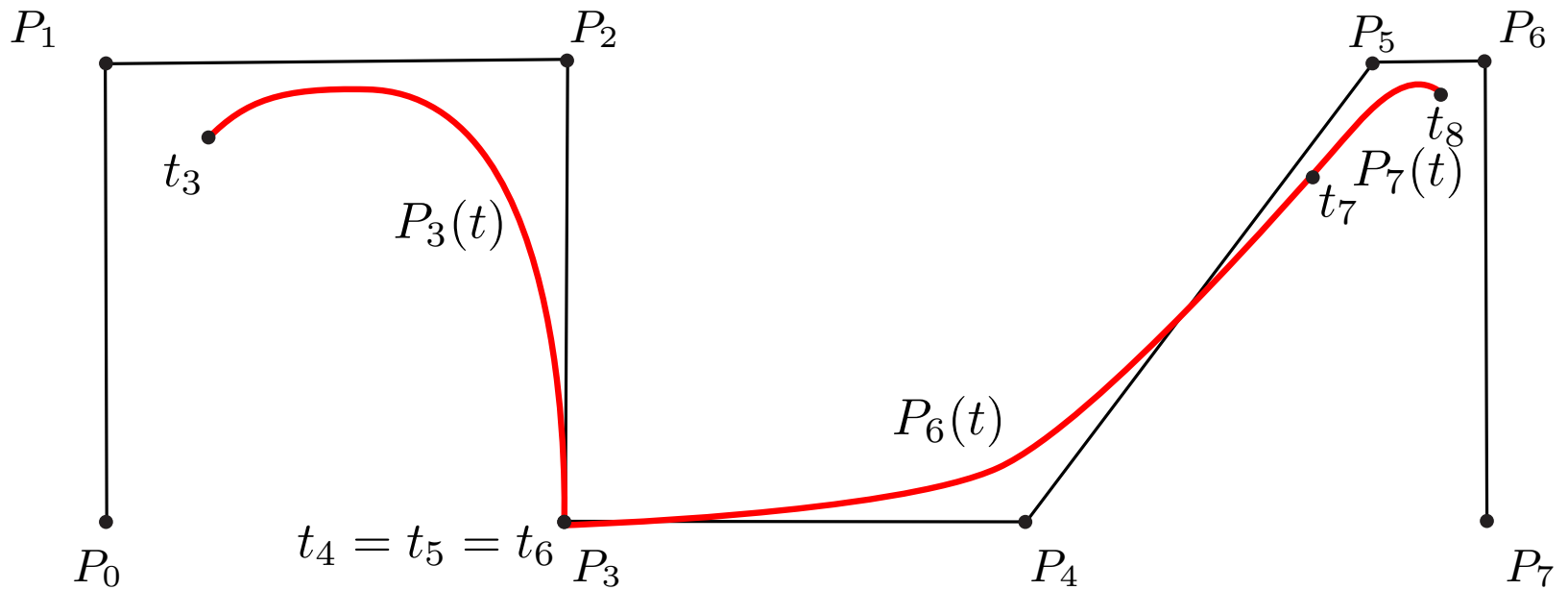
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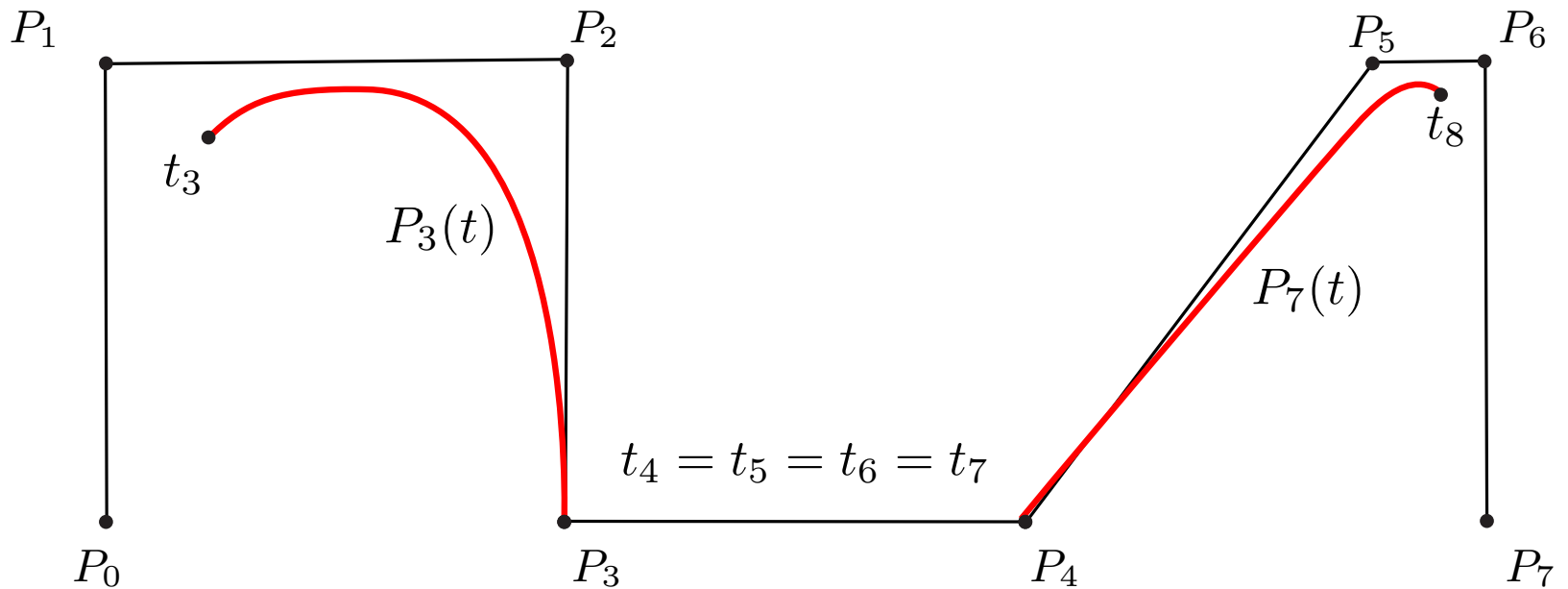
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Effect of knot multiplicity for  $k = 4$  (cubic)



Knot vector:

$(-3, -2, -1, 0, 1, 1, 1, 1, 2, 3, 4, 5)$

$i$  0 1 2 3 4 5 6 7 8 9 10 11

# NON-UNIFORM B-SPLINES

## Understanding knot vectors

# NON-UNIFORM B-SPLINES

## Understanding knot vectors

Open uniform B-splines interpolate the first and last control points due to the knot multiplicity

In general: continuity at the knots depends on multiplicity

$N_{i,k}(t)$  is  $(k - m - 1)$  times continuously differentiable, where  $m$  is the multiplicity of the knot  
( $m$ =number of repetitions of knot value)

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Examples:

- If all knots are different, a cubic ( $k = 4$ ) B-spline is  $C^2$ -continuous at every knot
- If a knot appears twice, the cubic B-spline will be only  $C^1$ -continuous there
- If a knot appears three times, the cubic B-spline will be only  $C^0$ -continuous there

Play with some example in <http://nurbscalculator.in/>

# NON-UNIFORM B-SPLINES

## Matrix form

Matrix-based expressions to compute non-uniform B-splines also exist

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Matrix-based expressions to compute non-uniform B-splines also exist

Linear case ( $k = 2$ )

$$N_{i2} = \frac{u - u_i}{u_{i+1} - u_i} N_{i1}(u) + \frac{u_{i+2} - u}{u_{i+2} - u_{i+1}} N_{i+1,1}(u)$$
$$= \begin{cases} \frac{u - u_i}{u_{i+1} - u_i} & \text{for } u \in [u_i, u_{i+1}), \\ \frac{u_{i+2} - u}{u_{i+2} - u_{i+1}} & \text{for } u \in [u_{i+1}, u_{i+2}), \\ 0 & \text{otherwise.} \end{cases}$$

$$\Delta = u_2 - u_1$$

$$t = \frac{u - u_1}{\Delta} = \frac{u - u_1}{u_2 - u_1}.$$

For  $i = 0$ , this becomes

$$N_{02} = \begin{cases} \frac{u - u_0}{u_1 - u_0} & \text{for } u \in [u_0, u_1), \\ \frac{u_2 - u}{u_2 - u_1} & \text{for } u \in [u_1, u_2), \\ 0 & \text{otherwise.} \end{cases}$$

$$\mathbf{P}(t) = (t, 1) \begin{pmatrix} -1 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} \mathbf{P}_0 \\ \mathbf{P}_1 \end{pmatrix}$$

$$t \in [0, 1]$$

$N_{12}(u)$  is obtained by incrementing all the indices

# NON-UNIFORM B-SPLINES

## Matrix form

Matrix-based expressions to compute non-uniform B-splines also exist

Quadratic case ( $k = 3$ )

$$N_{03}(u) = \begin{cases} \frac{u - u_0}{u_2 - u_0} \cdot \frac{u - u_0}{u_1 - u_0} & \text{for } u \in [u_0, u_1), \\ \frac{u - u_0}{u_2 - u_0} \cdot \frac{u_2 - u}{u_2 - u_1} + \frac{u_3 - u}{u_3 - u_1} \cdot \frac{u - u_1}{u_2 - u_1} & \text{for } u \in [u_1, u_2), \\ \frac{u_3 - u}{u_3 - u_1} \cdot \frac{u_3 - u}{u_3 - u_2} & \text{for } u \in [u_2, u_3), \\ 0 & \text{otherwise.} \end{cases}$$

→  $N_{13}(u)$  and  $N_{23}(u)$  are obtained by incrementing all the indices over subinterval  $[u_2, u_3)$

$$\begin{aligned} N_{03}(u) &= \frac{u_3 - u}{u_3 - u_1} \cdot \frac{u_3 - u}{u_3 - u_2}, \\ N_{13}(u) &= \frac{u - u_1}{u_3 - u_1} \cdot \frac{u_3 - u}{u_3 - u_2} + \frac{u_4 - u}{u_4 - u_2} \cdot \frac{u - u_2}{u_3 - u_2}, \\ N_{23}(u) &= \frac{u - u_2}{u_4 - u_2} \cdot \frac{u - u_2}{u_3 - u_2}. \end{aligned}$$

Need notation for difference between consecutive knots:

$$\Delta_1 = u_2 - u_1, \quad \Delta_2 = u_3 - u_2, \quad \Delta_3 = u_4 - u_3.$$

We also define  $t = (u - u_2)/\Delta_2$ , which implies

$$\begin{aligned} u - u_1 &= t\Delta_2 + \Delta_1, \\ u - u_2 &= t\Delta_2, \\ u - u_3 &= (t - 1)\Delta_2, \\ u - u_4 &= t\Delta_2 - (\Delta_2 + \Delta_3). \end{aligned}$$

$$\mathbf{P}(t) = (t^2, t, 1) \begin{pmatrix} a & -a - b & b \\ -2a & 2a & 0 \\ a & 1 - a & 0 \end{pmatrix} \begin{pmatrix} \mathbf{P}_0 \\ \mathbf{P}_1 \\ \mathbf{P}_2 \end{pmatrix}$$

$$a = \frac{\Delta_2}{\Delta_1 + \Delta_2}, \quad b = \frac{\Delta_2}{\Delta_2 + \Delta_3},$$

$$t \in [0, 1]$$

# NON-UNIFORM B-SPLINES

## Matrix form

Matrix-based expressions to compute non-uniform B-splines also exist

Cubic case ( $k = 4$ )

$$N_{04}(u) = \begin{cases} \frac{u-u_0}{u_3-u_0} \cdot \frac{u-u_0}{u_2-u_0} \cdot \frac{u-u_0}{u_1-u_0} & \text{for } u \in [u_0, u_1), \\ \frac{u_3-u_0}{u-u_0} \cdot \frac{u_2-u_0}{u-u_0} \cdot \frac{u_2-u_1}{u_2-u} \\ + \frac{u_3-u_0}{u-u_0} \cdot \frac{u_2-u_0}{u_3-u} \cdot \frac{u-u_1}{u-u_1} & \text{for } u \in [u_1, u_2), \\ + \frac{u_3-u_0}{u_4-u} \cdot \frac{u_3-u_1}{u-u_1} \cdot \frac{u_2-u_1}{u-u_1} \\ + \frac{u_4-u_1}{u-u_0} \cdot \frac{u_3-u_1}{u_3-u} \cdot \frac{u_2-u_1}{u_3-u} & \text{for } u \in [u_2, u_3), \\ + \frac{u_3-u_0}{u_4-u} \cdot \frac{u_3-u_1}{u-u_1} \cdot \frac{u_3-u_2}{u_3-u} \\ + \frac{u_4-u_1}{u_4-u} \cdot \frac{u_3-u_1}{u_4-u} \cdot \frac{u_3-u_2}{u-u_2} & \text{for } u \in [u_3, u_4), \\ + \frac{u_4-u_1}{u_4-u} \cdot \frac{u_4-u_2}{u_4-u} \cdot \frac{u_3-u_2}{u_4-u} \\ \frac{u_4-u}{u_4-u_1} \cdot \frac{u_4-u}{u_4-u_2} \cdot \frac{u_4-u}{u_4-u_3} & \text{otherwise.} \\ 0 \end{cases}$$

$N_{14}(u)$ ,  $N_{24}(u)$  and  $N_{34}(u)$  are obtained by incrementing all the indices

Only in  $[u_3, u_4)$  all four are nonzero, with values:

$$N_{04}(u) = \frac{u_4-u}{u_4-u_1} \cdot \frac{u_4-u}{u_4-u_2} \cdot \frac{u_4-u}{u_4-u_3},$$

$$N_{14}(u) = \frac{u-u_1}{u_4-u_1} \cdot \frac{u_4-u}{u_4-u_2} \cdot \frac{u_4-u}{u_4-u_3} + \frac{u_5-u}{u_5-u_2} \cdot \frac{u-u_2}{u_4-u_2} \cdot \frac{u_4-u}{u_4-u_3}$$

$$+ \frac{u_5-u}{u_5-u_2} \cdot \frac{u_5-u}{u_5-u_3} \cdot \frac{u-u_3}{u_4-u_3},$$

$$N_{24}(u) = \frac{u-u_2}{u_5-u_2} \cdot \frac{u-u_2}{u_4-u_2} \cdot \frac{u_4-u}{u_4-u_3} + \frac{u-u_2}{u_5-u_2} \cdot \frac{u_5-u}{u_5-u_3} \cdot \frac{u-u_3}{u_4-u_3}$$

$$+ \frac{u_6-u}{u_6-u_3} \cdot \frac{u-u_3}{u_5-u_3} \cdot \frac{u-u_3}{u_4-u_3},$$

$$N_{34}(u) = \frac{u-u_3}{u_6-u_3} \cdot \frac{u-u_3}{u_5-u_3} \cdot \frac{u-u_3}{u_4-u_3}.$$

take  $\Delta_1 = u_2 - u_1, \quad \Delta_2 = u_3 - u_2, \quad \Delta_3 = u_4 - u_3,$   
 $\Delta_4 = u_5 - u_4, \quad \Delta_5 = u_6 - u_5, \quad t = (u - u_3)/\Delta_3.$

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We obtain:

$$\mathbf{P}(t) = (t^3, t^2, t, 1) \begin{pmatrix} -a & a+b+c & -b-c-d & d \\ 3a & -3a-3b & 3b & 0 \\ -3a & 3a-3e & 3e & 0 \\ a & 1-a-f & f & 0 \end{pmatrix} \begin{pmatrix} \mathbf{P}_0 \\ \mathbf{P}_1 \\ \mathbf{P}_2 \\ \mathbf{P}_3 \end{pmatrix},$$

where

$$\begin{aligned} a &= \frac{\Delta_3^2}{(\Delta_1 + \Delta_2 + \Delta_3)(\Delta_2 + \Delta_3)}, & d &= \frac{\Delta_3^2}{(\Delta_3 + \Delta_4 + \Delta_5)(\Delta_3 + \Delta_4)}, \\ b &= \frac{\Delta_3^2}{(\Delta_2 + \Delta_3 + \Delta_4)(\Delta_2 + \Delta_3)}, & e &= \frac{\Delta_2 \Delta_3}{(\Delta_2 + \Delta_3 + \Delta_4)(\Delta_2 + \Delta_3)}, \\ c &= \frac{\Delta_3^2}{(\Delta_2 + \Delta_3 + \Delta_4)(\Delta_3 + \Delta_4)}, & f &= \frac{\Delta_2^2}{(\Delta_2 + \Delta_3 + \Delta_4)(\Delta_2 + \Delta_3)}. \end{aligned}$$

$$\text{for } u \in [u_3, u_4), \quad N_{34}(u) = \frac{u-u_3}{u_6-u_3} \cdot \frac{u-u_3}{u_5-u_3} \cdot \frac{u-u_3}{u_4-u_3}.$$

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take  $\Delta_1 = u_2 - u_1, \quad \Delta_2 = u_3 - u_2, \quad \Delta_3 = u_4 - u_3,$   
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# NON-UNIFORM RATIONAL B-SPLINES (NURBS)

## The most general parametric curve

Same idea as for rational Bézier: each control point  $P_i$  has a weight,  $w_i \geq 0$ . This gives even more flexibility to shape the curve

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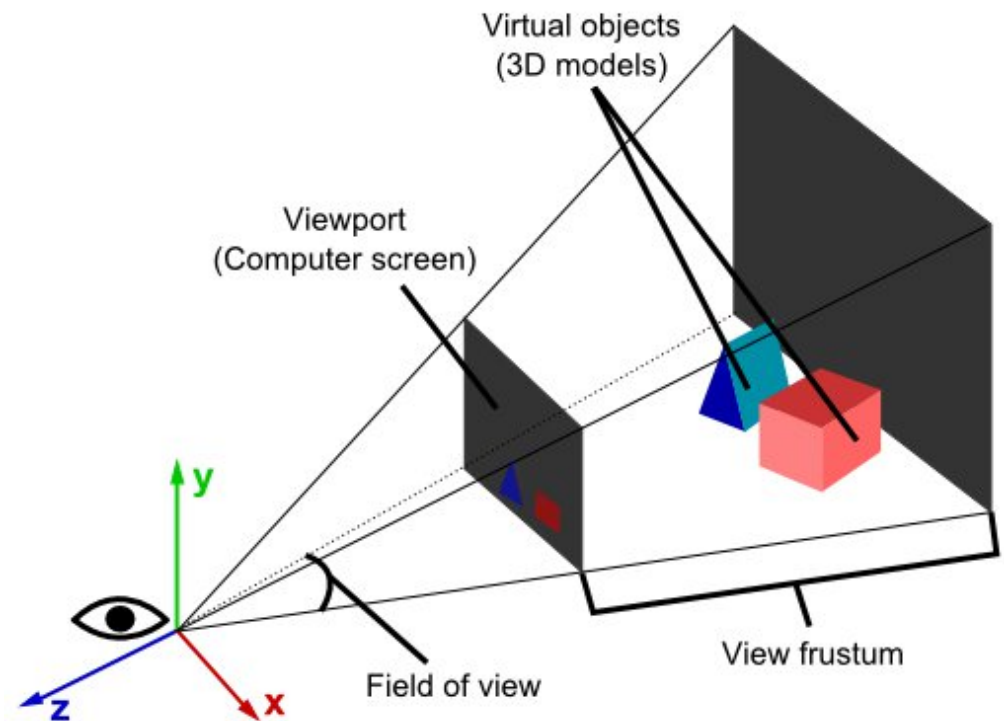


Figure from [real3dtutorials.com](http://real3dtutorials.com)

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- It can represent conic curves exactly (e.g., segments of circles, ellipses, hyperbolas and parabolas)
- It is more general, so it includes as particular cases all other B-splines and Bézier curves

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Related to homogeneous coordinates

Any 2D point  $(x, y)$  is equivalent to a 3D point:  $(wx, wy, w)$

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Suppose your control points  $Q_i$  have one extra dimension  $P_i \in \mathbb{R}^2 \rightarrow Q_i \in \mathbb{R}^3$

↳ e.g., each point  $P_i = (x_i, y_i)$ , becomes  $Q_i = (w_i x_i, w_i y_i, w_i)$ , for some  $w_i \geq 0$

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Compute a 3D B-spline curve in the usual (non-rational) way:  $P_{nr}(t) = \sum_{i=0}^n Q_i N_{i,k}(t)$

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↳ e.g., each point  $P_i = (x_i, y_i)$ , becomes  $Q_i = (w_i x_i, w_i y_i, w_i)$ , for some  $w_i \geq 0$

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# NON-UNIFORM RATIONAL B-SPLINES (NURBS)

## Rational curves as curves in projective space

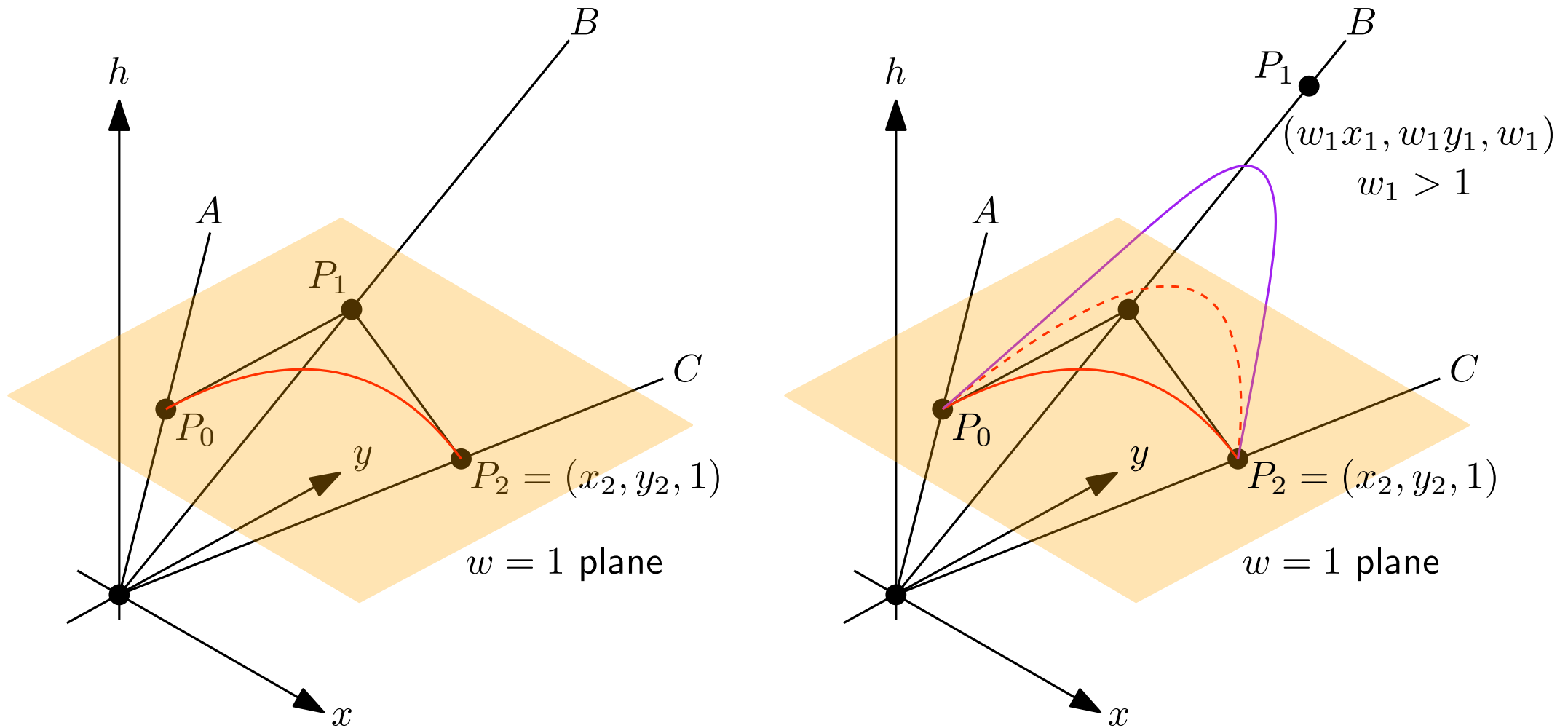
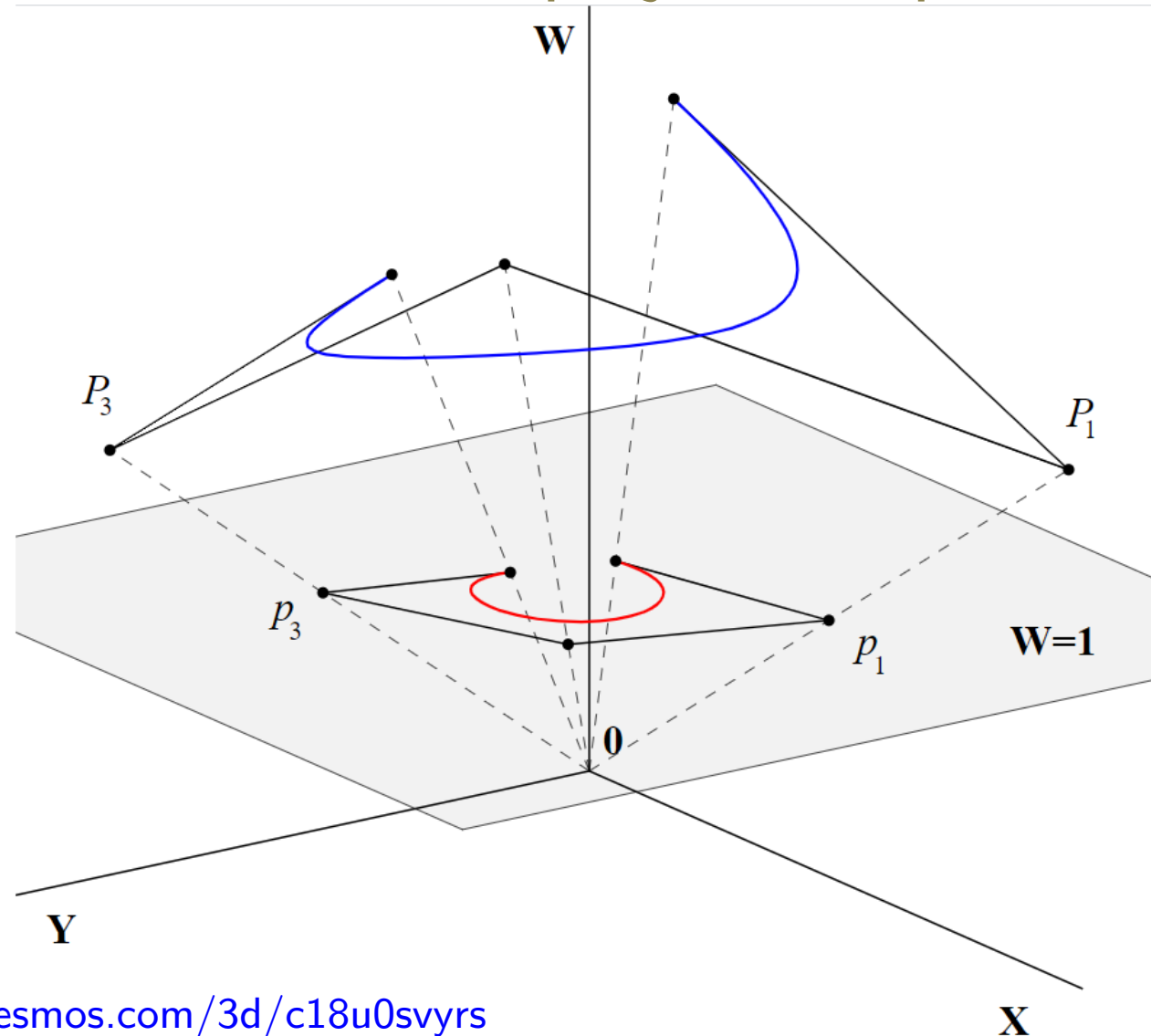


Figure adapted from book by Mortenson

# NON-UNIFORM RATIONAL B-SPLINES (NURBS)

## Rational curves as curves in projective space

Another picture



Try it! <https://www.desmos.com/3d/c18u0svyrs>

Figure by Wojciech Muła - Own work (Python script, final touches Inkscape), CC BY-SA 3.0, <https://commons.wikimedia.org/w/index.php?curid=1196334>

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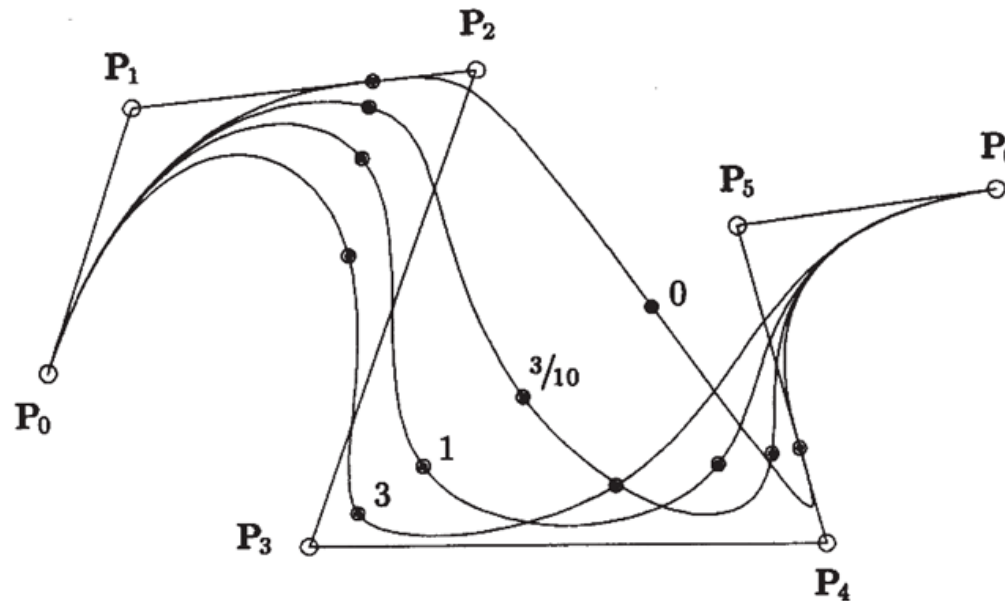


Figure 4.2. Rational cubic B-spline curves, with  $w_3$  varying.

Figure from [Piegl and Tiller]

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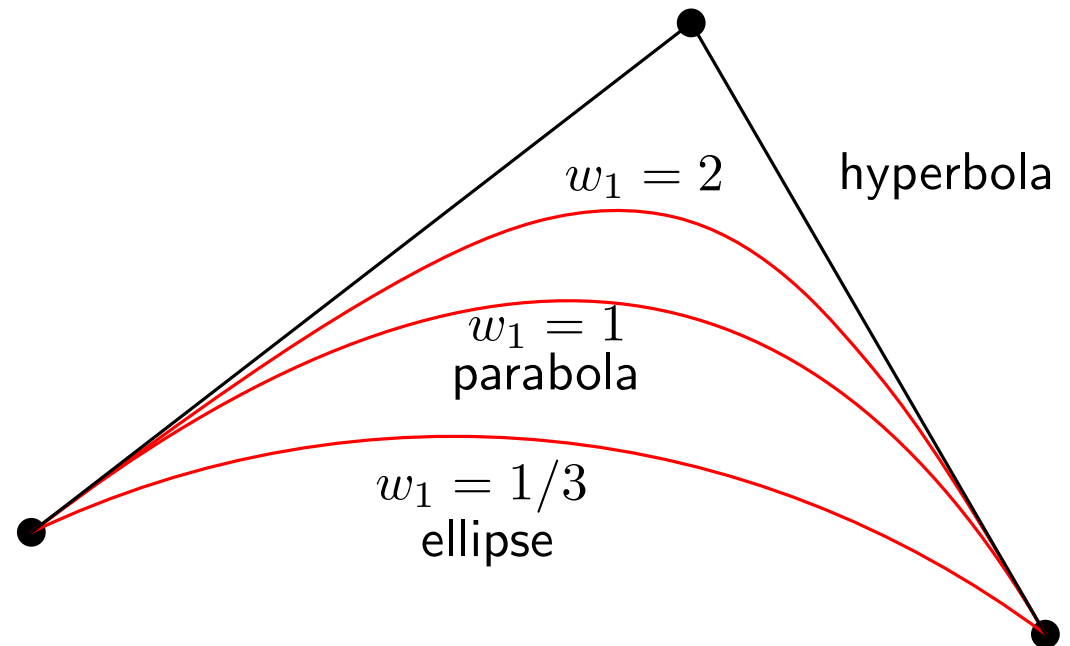
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